



November 1, 2023

Dear TICR participants,

Welcome to our Trauma Informed Care and Resiliency collaborative (TICR)! In this packet you will find items to get you started and apply throughout the Quality Improvement (QI) collaborative, including:

- Checklist: Essential tasks and educational activities to complete by 12/1/23
- Administrative: Selecting a Core Team, Core Team and Provider Expectations, Practice Readiness Survey
- Quality Improvement: Key Driver, Action Period Timeline, Measure Definitions, Model for Improvement, PDSA worksheets, sFMEA, Sticky Notes
- TICR Content Education:
 - *Preventing Childhood Toxic Stress: Partnering with Families and Communities to Promote Relational Health*
 - *Trauma Informed Care AAP Clinical Report*
 - *Childhood Trauma & Resilience: A Practical Guide*

Keep this packet handy for webinars. We are looking forward to working and learning with you in this new collaborative!

Best regards,

Rachel Latham

rlatham@alaap.org

Core Team Pre-Work

Complete before **December 1, 2023**

Review Emailed Information

- Selecting a Core Team*
- Core Team and Physician Expectations*
- Practice Readiness Assessment Survey*
- Timeline and Important Dates*

Establish a Core Team

- Establish Core Team based on *Selecting a QI Core Team* job descriptions

Core Team and Provider Expectations

- Review *Core Team and Physician Expectations* with core team and physicians seeking MOC Part 4
- Collect signatures
- Email signed and scanned copy to Rachel Latham at rlatham@alaap.org

Complete Practice Readiness Pre-Survey

- Complete one REDCap Pre-Survey for each practice. Practices with multiple sites, complete one per site.
- Attached Pre-Survey PDF provided to facilitate completion (**do NOT return PDF**):
 - o Meet with practice core team meeting to discuss current clinical practice
 - o Collect of number of patients seen annually and by payor %
- REDCap Pre-Survey link will be emailed from Rachel Latham

Schedule protected time for collaborative work (see Timeline and Important Dates)

- Place TICR QI webinars on the core team member calendars
- Place task due dates on team members' calendar responsible for task(s)
- Establish data entry back up plans that accommodate vacations/illnesses
- Schedule core team meetings at least bi-weekly (consider using huddles)

Complete or schedule online training modules

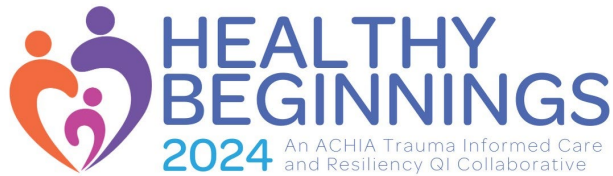
- All core team and physicians seeking MOC complete by *December 7, 2023*
 - o Promoting Relational Health: Dr. Gardner Webinar (~1 hour). Link is [HERE](#).
- Physicians seeking MOC complete by *December 7, 2023*
 - o NICHQ Quality Improvement (~1 hour) <https://static.nichq.org/quality-improvement-101>
 - o NICHQ Quality Improvement 102: (The PDSA Story – 30 min.) https://static.nichq.org/quality-improvement-102/story_html5.html
- All physicians seeking MOC complete by *December 31, 2023*
 - o AAP Pedialink: TIC and Resilience (~3 hours CME available). Free for AAP members and \$87 for others. Follow link [HERE](#).

Explore materials on TICR Website

- QI Teamspace ([HERE](#))

Test communication streaming/camera/audio prior to Kick-Off Webinar

- Download the ZOOM app to your computer desktop
- Test ZOOM access, audio, and ability to mute audio source (landline or computer microphone) <https://learning.zoom.us/learn>
- Update ZOOM each month prior to webinar to ensure full functionality



Selecting a QI Core Team

Establish a practice core team to spearhead efforts to implement evidence-based standards for teen mental wellness.

Leadership buy-in: Obtain buy-in from practice leadership for making improvements including providing time needed to complete QI activities.

Protected time to schedule: *Daily/weekly huddles <= 15 minutes *Monthly practice core team-meetings *Monthly TICR webinar participation *Monthly meetings with staff and providers for updates and feedback

Align QI work with routine responsibilities: Historically practices with the greatest improvements have administrative staff abstract and enter data as assessing and improving quality are increasingly integral to practice management. Team roles include:

Team Member	Major roles and responsibilities
QI Lead Physician (MD/DO) Lead may be NP if supervised by MD/DO	<ul style="list-style-type: none"> • Coordinate team effort to institute change processes • Encourage & facilitate the practice’s involvement in the project • Provide provider’s perspective/ insight on early relational health completion • Data Oversight <ul style="list-style-type: none"> • Participate in December Data call • Double abstract 2 – 4 charts to establish data integrity • Review monthly data • Attest to practice provider participation in MOC activities
Practice Data Manager	<ul style="list-style-type: none"> • Participate in December data webinars • Double abstract 2 – 4 charts with lead physician to ensure data integrity • Abstract and enter project data into REDCap • Have back up to enter data in case of absences
Clinical support staff (RN, LPN, MA)	<ul style="list-style-type: none"> • Facilitate clinical support staff perspective and involvement in promoting early relational health; screening for barriers and tracking referrals and follow up
Office Administrator/Manager	<ul style="list-style-type: none"> • Serve as the point person to receive and distribute communication from the project manager • Identify other key staff to support QI work (front desk, scheduler, care coordinator, etc.) • Communicate importance of teen wellness and collaborative work to staff • Ensure core team has protected time to complete assignments • Ensure evaluation data/reports/surveys are submitted on time • Communicate practice collaborative work to patients • Facilitate front-office level perspective and involvement in implementation • Ensure any coding updates capture care delivered • Ensure improvements are incorporated into workflow including updating job descriptions to sustain progress



Core Team and Physician Expectations

December 2023

Welcome to the Collaborative!

This collaborative is for practices who want to apply Quality Improvement (QI) to improve childhood resiliency.

The Healthy Beginnings Trauma Informed Care and Resiliency Collaborative (TICR) is a good fit for practices who want to:

- measurably improve strengths-based messaging and add, or enhance, screening and follow-up for perinatal depression, food insecurity, and social-emotional wellness at selected early childhood health supervision visits,
- Improve QI skills,
- Learn from Alabama Content Experts and Peers across the state.

This document outlines collaborative expectations for the practice Core Team and American Board of Pediatrics (ABP) expectations for physicians seeking Maintenance of Certification (MOC) Part 4.

Prior to December 1, 2023, the Lead Physician is responsible for reviewing this document, obtaining signatures, and emailing a scanned copy to Rachel Latham at rlatham@alaap.org

Enrollment in this collaborative is limited and there is a waiting list of interested practices. Please review expectations carefully for your ability to participate. Practices anticipating significant staffing disruptions or major changes, such as introducing a new electronic health record, should discuss participating in the collaborative with Dr. Benton (ebenton@uabmc.edu) prior to signing this document.

Core Team Expectation for Participation in a Quality Improvement (QI) Learning Collaborative

Collaborative Aims, Key Drivers, and Interventions

Review collaborative scope outlined in Key Driver (Attached)

Pre-Work Requirements

Complete before December 1, 2023 (Pre-work attached)

Who May Participate?

Practices providing primary care for Alabama children and youth.

Practice QI Core Team

The QI Core Team is active and engaged in improving practice processes and patient outcomes. At a minimum, the Core Team includes a lead physician, a clinical staff member and an administrator. The team should include those involved in all aspects of depression screening and follow-up. Depending on practice workflow, this may include front desk or referral staff. See *Selecting a QI Core Team* for more information.

The QI Core Team Responsibilities:

- Communicates importance of improving strengths-based messaging and screening and follow-up for perinatal depression, food insecurity, and social-emotional wellness to staff and physicians
- Routinely solicits change ideas and shares intervention results with the practice
- Tests ideas to improve completion
- Actively engages in monthly TICR QI webinars
- Complete online learning modules and webinars related to specific relational health screen
- Completes data entry and monthly survey into REDCap by the due date
- Has buy-in from practice leaders to allocate an average of 3-5 hours/month Dec 2023- August 2024 to improve practice strengths-based messaging and screening.

Data

Data collection and entry guidance is detailed in the TICR Data Webinar (December 14, 2023).

Important TICR Data Webinar participants include:

- Lead Physician
- Staff abstracting and entering data
- Staff maintaining the registry for patients with positive screens

Quantitative Data:

Measures include:

Strengths Based Approaches utilization
Screening for a potential barrier to relational health (practice selects screen)
Postpartum Depression
Social Determinants of Health
Social-Emotional Health

Baseline data due Jan 31 2024

Intervention data due monthly February – July 2023 on the last day of each month

Qualitative Data:

- Practice Readiness Assessment (Complete by December 1, 2023.) Link emailed from Rachel Latham)
- Monthly Webinar Feedback (Complete at end of each TICR ZOOM webinar)

- Monthly Practice Plan-Do-Study-Act (PDSA) Report (Complete monthly online. Link emailed monthly by Rachel Latham)
- Post-Collaborative Evaluation (Complete online at end of collaborative. Link emailed by Rachel Latham)
- Post-Collaborative Phone evaluation (if contacted by evaluator)

Technology

Practices need access to the internet as well as camera and audio streaming capabilities to participate in monthly TIGR ZOOM webinars.

Practices must have a point person to readily review and respond to email communication.

Costs to participate

The collaborative is an included benefit for members of the Alabama-Chapter AAP or Alabama Academy of Family Physicians. The Pedialink course required for providers seeking MOC Part 4 is free for AAP members and \$87 for non-members.

Benefits

- Aligns practice with Bright Futures Recommendations for Preventive Pediatric Health Care
- Peer-to-peer networking
- Learn quality improvement techniques
- Improved practice efficiencies
- 25 points American Board of Pediatrics MOC Part 4
- QI work aligns with many NCQA PCMH (re)certification requirements
- Potential for increased revenue
- Award Certificate of Completion suitable to display to clients

Confidentiality

Only practice level data are entered into REDCap. No personal health information data are entered. Practices will be identified as participating in the final collaborative report, which is publicly available on the ACHIA website. No practice level data are in the final report.

Practices are recognized at state meetings.

For journal publications resulting from this work, neither individual practitioners nor practices will be linked with data. Only de-identified; aggregated practice level data and de-identified survey responses will be reported. No patients or practice staff will be identified in any publication about this study.

Participation-Termination

Participation in this collaborative is voluntary. Any provider may refuse to participate or may stop participating at any time and for any reason without penalty. Providers may also be withdrawn from the collaborative if unable to meet expectation timelines. If a pediatrician withdraws early, before meeting the minimum duration established for them to be eligible for ABP Part 4 MOC credit, the physician may no longer qualify for that credit. For any questions, concerns or complaints about the project, contact Cason Benton, MD, FAAP at ebenton@uabmc.edu or 205-638-6776 during the regular business hours of 8:00 a.m. to 5:00 pm CT, Monday through Friday.

Lead Physician MOC Attestation Responsibilities

To confidentially complete MOC attestation, the lead physician should:

- o Ensure core team expectations are met
- o Ensure physician participation in monthly practice QI meetings
- o Complete all asynchronous learning modules
- o Return all provider signed MOC attestations to Rachel Latham at end of collaborative
- o Resolve any disputes about practice members meeting MOC expectations

By signing below, the Lead Physician affirms the practice Core Team can meet participation expectations and can attest to colleague completion of ABP MOC Part 4 credits.

Signature

Date

Printed Name

Participating Physicians Seeking MOC Part 4 Expectations

This quality improvement project anticipates approval by the American Board of Pediatrics (ABP) Maintenance of Certification Part 4 for 25 points to be awarded in 2024. ACHIA staff can work with Family Physicians seeking MOC.

Each participating physician must meet the following requirement to receive MOC:

- Be intellectually engaged in planning and executing the project
- Participate in implementing the project’s intervention (the changes designed to improve care)
- Review data in keeping with the project’s measurement plan
- Collaborate in the activity by attending team meetings

Physicians must:

- Complete all asynchronous learning modules
- Participate in a minimum of 6/9 monthly practice meetings to
 - review data
 - contribute to change ideas
 - confirm with core team that your name is included in monthly practice report for practice meetings where you participate
- Submit ABP attestation of above activities to Lead Physician at end of collaborative

Core Team must meet all due dates for data a survey entry and complete its expectations for individual providers to receive MOC.

By signing below the participating physicians are aware of the expectations for MOC attestation.

Participating Physician Signatures

By signing below the participating physicians are aware of the expectations for MOC attestation.

Participating Physician Practice Member

Signature

Date

Printed Name

Participating Physician Practice Member

Signature

Date

Printed Name

Participating Physician Practice Member

Signature

Date

Printed Name

Participating Physician Practice Member

Signature

Date

Printed Name

Participating Physician Practice Member

Signature

Date

Printed Name

Supportive Physicians' Signatures

Supportive physicians are members of the participating practice but not seeking MOC credit or CME credit associated with the project.

I am aware that members of my practice are participating in the 2024 Healthy Beginnings: An ACHIA Trauma Informed Care and Resiliency QI Collaborative. I support their decision to participate in this project and the practice system changes that are determined by the Practice QI Core team.

Supportive Physician Practice Member

Signature

Date

Printed Name

Supportive Physician Practice Member

Signature

Date

Printed Name

Supportive Physician Practice Member

Signature

Date

Printed Name



Important Dates

TICR QI Webinar: Monthly on Thursday

Who Participates: **Core Team Members**

Dec 2023 - Aug 2024 @ 12 – 1 PM Zoom meeting link emailed monthly

2023: 12/7 (Kick-Off), 12/14, (Data Orientation)

2024: 1/18, 2/15, 3/21, 4/25, 5/16, 6/20, 7/18, 8/15

Online QI/Learning Modules:

QI: **Core Team** and **Physicians seeking MOC Part 4 who have not completed these modules within the previous two years**

Before December 7, 2023:

- NICHQ Quality Improvement (1 hour approx.) <https://static.nichq.org/quality-improvement-101>
- NICHQ Quality Improvement 102: (The PDSA Story – 30 min.) https://static.nichq.org/quality-improvement-102/story_html5.html

Learning Modules: **Core Team clinical members** and **Physicians seeking MOC Part 4**

Before December 7:

- Promoting Relational Health: Dr. Gardner Webinar (^1 hour- no CME.) Link is [HERE](#).

Before December 31:

- AAP Pedialink: TIC and Resilience (^3 hours- CME available). Free for AAP members and \$87 for others. Follow link [HERE](#).
- By end of February*, core team members complete the webinar relevant to practice selected screen:
 - o Maternal Depression Screening: Dr Earls (45 min) link is [HERE](#)
 - o Social Emotional Screening: Dr Gleason (~ 1 hour) link is [HERE](#)
 - o Screening for Food Insecurity: (~ 1 hour) link is [HERE](#)

REDCap Data Due the Last Day of the Month

Baseline data due: 1/31

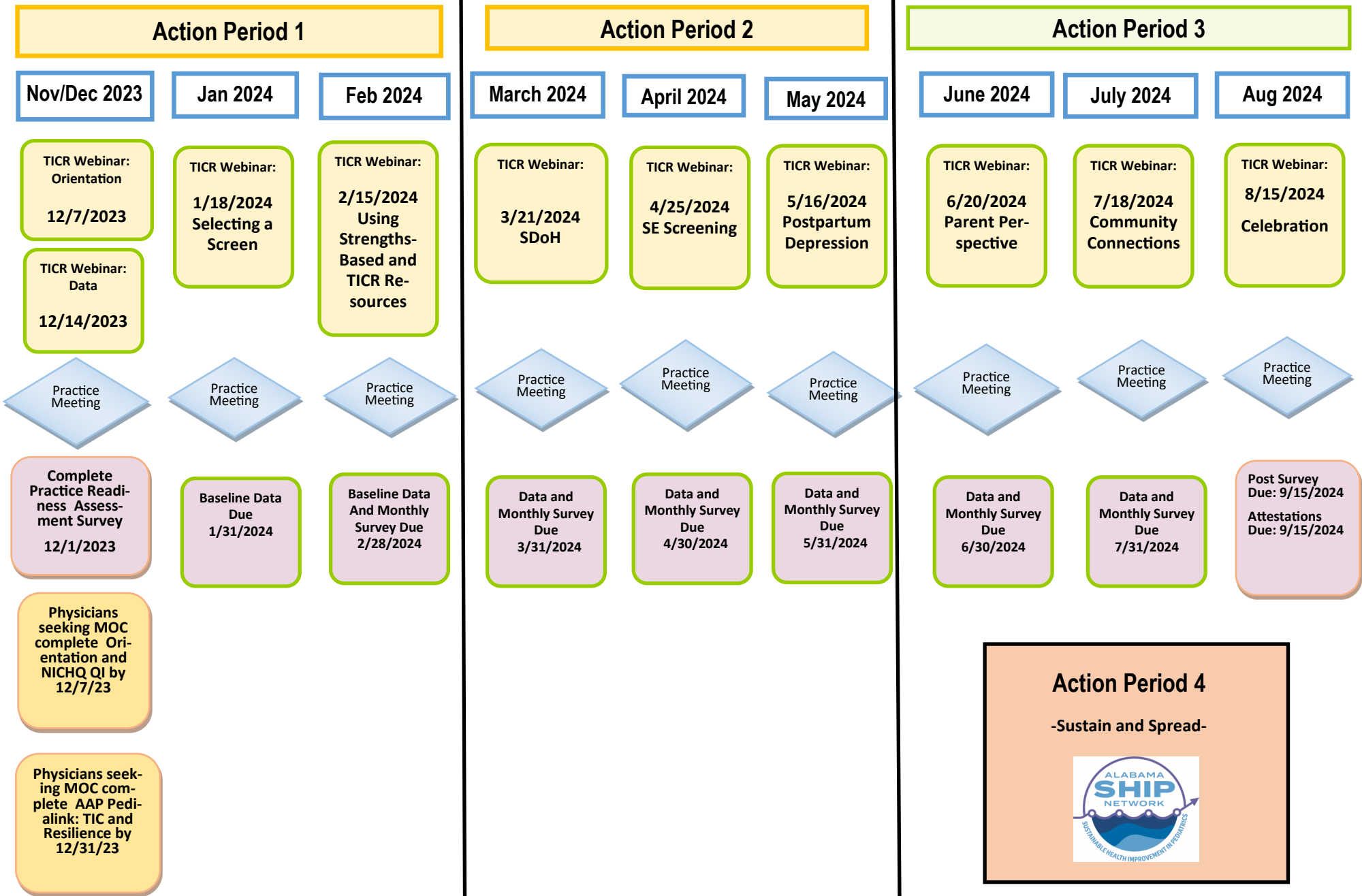
Intervention data and PDSA reports due: 2/29, 3/31, 4/30, 5/31, 6/30, 7/31

REDCap database opens the 22nd of each month for intervention data entry

If you have any questions, please feel free to contact me at rlatham@alaap.org

TICR: An ACHIA QI Learning Collaborative

December 2023 to August 2024



2024 TICR Key Driver Diagram

SMART AIM

By August 2024, we will complete the following:

- Increase the percentage of patients with documentation of a strengths based approach at targeted WCC to 80 percent
- Increase the percentage of patient visits with documentation that an early relational health screen was appropriately completed at the targeted age to 80 percent
 - o Postpartum Depression
 - o Social Determinants of Health
 - o Social-Emotional Health
- Increase the percentage of patients with positive screens with intervention documented for the targeted ages to 80 percent
- Innovative Measure: Track recommended interventions to learn which are most actionable

Global AIM

All children have positive childhood outcomes

Primary Drivers

Prepare practice

Utilize a strengths-based approach

Screen for potential barriers to early relational health

Foster and continually expand referral networks and resources

Change Ideas/Innovations

- Develop shared knowledge of role of pediatrician and pediatric office in promoting positive childhood experiences and providing trauma-informed care
- Message rationale for supporting Positive Childhood Experiences

- Establish standards for communication
- Utilize AAP recommended communication approaches
- Standardize documentation for practice

- Select screen
- Standardize management for positive screens
- Standardize documentation for positive screens
- Establish Intervention Tracking for Completion
- Define billing and coding pathway
- Explore Perinatal Depression Screening Resources
- Explore Social Determinants of Health Resources
- Explore Social Emotional Screening Resources

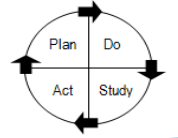
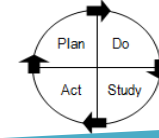
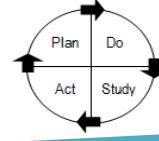
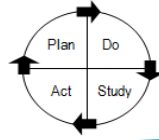
- Resource Directories
- Cross-Cutting Resources

Measure Definitions

Name	Definition	Measure Source/ Type	Calculation	Exclusion	Data Source	Goal	Collection Frequency	Associated Questions
Data Set #1								
Measure 1 Strengths Based Approaches Utilized	% of patients with documentation of strengths based approach a targeted WCC	AAP Best Practices Process	Target Population: All patient WCC visits for the targeted ages Numerator: # WCC visits with one or more strengths based approaches documented Denominator: # of target patients	None	Patient Chart RedCAP data collection tool	80%	Baseline: Abstract all targeted ages June- November 20 random visits Generate visit list by end of December Data entry due in January ----- Intervention: Abstract practice selected targeted ages Monthly 10 random charts (or all available) February – July 2024	Targeted ages: PPD: 1, 2, 4, 6 month visit SDoH: 6, 15, 24, 48 month visit SE: 6, 15, 24, 48 month visit Examples: <ul style="list-style-type: none"> • Bright Futures Previsit Questionnaire • Promoting First Relationships • Reach Out and Read • HOPE • NM 3 Questions
Measure 2a Screen for Barriers to Early Relational Health Completed	% of patient visits with documentation that screen was appropriately completed at the targeted age	AAP Best Practices Process	Target Population: All patient WCC visits for the targeted ages Numerator: # with an appropriately completed screen Denominator: # of target patients	<u>Postpartum Depression (PPD):</u> mother is not present for WCC <u>SDoH (Social Determinants of Health):</u> None	Patient Chart RedCAP data collection tool	80%	Baseline: Abstracted all targeted ages June- November 20 random charts Due in January Intervention: Abstract practice selected targeted ages	Targeted Ages and Example Tools PPD: 1, 2, 4, 6 month visit <ul style="list-style-type: none"> • PHQ9 • Edinburgh • PHQ2 SDoH: 6, 15, 24, 48 month visit <ul style="list-style-type: none"> • SWYC • AAFP • SEEK

Name	Definition	Measure Source/ Type	Calculation	Exclusion	Data Source	Goal	Collection Frequency	Associated Questions
				<p><u>Social-Emotional Health (SE):</u> None</p>			<p>Monthly</p> <p>10 random charts (or all available)</p> <p>February – July 2024</p>	<ul style="list-style-type: none"> • Healthy Steps Family Needs Questionnaire • Hunger Vital signs <p>SE: 6, 15, 24, 48 month visit</p> <ul style="list-style-type: none"> • SWYC • Pediatric Symptom Checklist ≥ 4YO • Baby Pediatric Symptom Checklist < 4YO • Strengths and Difficulties ≥ 2YO • ASQSE <p>For a comprehensive list of screening tools, see the ACHIA website -----</p> <p>Appropriately completed:</p> <p><input type="checkbox"/> screen completed by caregiver</p> <p><input type="checkbox"/> scored accurately for selected screen</p> <p><input type="checkbox"/> score documented</p> <p><input type="checkbox"/> screen interpretation documented</p> <p>-----</p> <p>Of completed screens, was the screen positive? Positive screen criteria will be reviewed after screens selected</p>
<p><i>Measure 2b</i></p> <p>Interventions Documented for Positive Screens for Barriers to Early Relational Health</p>	<p>% patients with positive screens with intervention documented for the targeted ages</p>	<p>AAP Best Practices</p> <p>Process</p>	<p>Target Population: All patient WCC visits for the targeted ages with a positive screen</p> <p>Numerator: # patients who have intervention plan(s) documented</p> <p>Denominator: # of patients with positive screen</p>	<p>None</p>	<p>Patient chart</p> <p>Data Collection Tool for REDCap</p>	<p>80%</p>	<p>Baseline: Abstract all targeted ages</p> <p>June- November 20</p> <p>random charts Due in January</p> <p>Intervention: Abstract practice selected targeted ages</p> <p>Monthly</p> <p>10 random charts (or all available)</p> <p>February – July 2024</p>	<p>Were interventions for a positive screen based on the practice defined standard of care documented appropriately in the EHR?</p> <p>Were the recommended interventions placed on the practice’s referral tracking tool for follow up?</p>

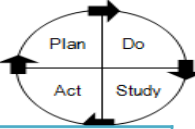
Name	Definition	Measure Source/ Type	Calculation	Exclusion	Data Source	Goal	Collection Frequency	Associated Questions
Dataset #2								
<i>Measure 3</i> Recommended Interventions Assessed or Completed within 30 days	% of patients with positive screens and the recommended intervention(s) assessed or completed within 30 days	AAP Best Practices Outcome	Target Population: All patient WCC visits for the targeted ages with an intervention plan for positive screen Numerator: # interventions completed within 30 days or assessed within 30 days as having a future appointment Denominator: cumulative # of interventions recommended	None	Practice Tracking Tool	No goal: This is an Innovative Measure for practices to learn which interventions are most actionable	Baseline data: None Intervention Monthly All patients with a positive screen and recommended interventions. March – July 2024	Was recommended intervention completed within 30 days or assessed as having known appointment /intervention scheduled for beyond 30 days? Yes Comment if any Note: Continue to track future appointments /interventions through scheduled date. No (choose one): <ul style="list-style-type: none"> <input type="radio"/> Intervention not scheduled <input type="radio"/> Intervention scheduled but appointment missed <input type="radio"/> Provider unable to reach family for more information <input type="radio"/> Other, please specify: _____



Screening For Depression and Suicide	Test Cycle 1	Test Cycle 2	Test Cycle 3	Test Cycle 4
<p>Test Cycle Description, including changes in scale/time:</p>	<p>Dr Walker: March 1 1 Day / 1 Doctor</p> <p>Give Depression and Suicide Screen to teens @ 12-18YO WCC</p>	<p>Drs Walker and Abbott: March 3 1 Day / 2 Doctors</p> <p>Teen roomed without caregiver and given screen at that time</p>	<p>Drs. Walker and Abbott: Week March 6 All Week/ 2 Doctors</p>	



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Project Title: Screening Effectively & Empowering Now (SEEN): An ACHIA Teen Mental Wellness QI Collaborative

Intervention Name: Screening for Depression and Suicide at all Teen WCC

What key driver does this test impact?

- Universal Screening for Depression and Suicide**
- Standardized Management for Concerning Screens
- Practice Follow Up Visits

Test Cycle #:	1	Test Cycle Start Date:	March 1, 2023	Test Cycle Completion Date:	March 1, 2023
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Describe the intent and structure of the test cycle:

To complete the PHQ-A with the Ask Suicide Questions Screen. Will start with all of Dr. Walker's patients 12-18 yo here for WCC on March 1, 2023. Front desk will give the form for teen to complete prior to seeing Dr Walker. Dr. Walker will review the replies and discuss with the patient and caregiver.

What would the successful test look like? Include how you will measure success for this test cycle:

Success= all of Dr Walker's teens screened. We will measure by reviewing all 12-18 yo patients here for WCC on March 1

What do you predict will happen? This should be your realistic prediction.

We will complete screens.

Action steps to carry out the test cycle (who, what, where & when):

Front desk will give the form for teen to complete prior to seeing Dr Walker.
Dr. Walker will review the replies and discuss with the patient and caregiver.

Describe your observations and data. Was there anything that occurred that was not part of the plan?

Dr . Walker had 6 patients on March 1. Four completed the screens. .
Missed the first patient because front desk forgot about giving out the form.
Missed the second patient because the family was too late and didn't have time to complete the form.

Also, found out that while four screens were completed, one was completed by the parent.

STUDY:

How did the results compare to your prediction? What did you learn?

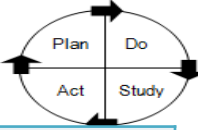
Results: We did not screen all patients.
Learned:
- Need to improve communication with front desk
- Parents are completing screens
- When patients are late hard to do screen as well

ACT: *(to be completed after the test cycle)*

Adapt What will you change in the next test if "adapt". *(Modify intervention to reflect learning and/or increase scale)*

- Adopt**
- Bring Front Desk onto Core Team
 - Room patients >= 14 years of age initially without caregiver. Go over screen w 12 & 13YO after parent leaves room
 - Will need to continue to think about how to screen late patients
 - Test on Friday March 3 with Drs Walker's and Abbott's Patients .

Abandon



Project Title:

Screening Effectively & Empowering Now (SEEN): An ACHIA Teen Mental Wellness QI Collaborative

Intervention Name:

What key driver does this test impact?

- Universal Screening for Depression and Suicide**
- Standardized Management for Concerning Screens
- Practice Follow Up Visits

Test Cycle #:

2

Test Cycle Start Date:

March 3, 2023

Test Cycle Completion Date:

March 3, 2023

Describe the intent and structure of the test cycle:

To complete the PHQ-A with the Ask Suicide Questions Screen. Will start with all of Dr. Walker's patients 12-18 yo here for WCC on March 3, 2023. Front desk will put screen on chart. CMA will room >=14 yo alone and give screen then. For 12 & 13YO, leave screen on chart for Dr walker to review with patient when parent leaves room.. Dr. Walker will review the replies and discuss with the patient and caregiver.

What would the successful test look like? Include how you will measure success for this test cycle:

Success= all of Dr Walker's teens screened. We will measure by reviewing all 12-18 yo patients here for WCC on March

What do you predict will happen? This should be your realistic prediction.

We will complete screens. But some caregivers will not be ok with leaving teen in room.

Action steps to carry out the test cycle (who, what, where & when):

Describe your observations and data. Was there anything that occurred that was not part of the plan?

STUDY:

How did the results compare to your prediction? What did you learn?

ACT: *(to be completed after the test cycle)*

Adapt

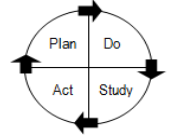
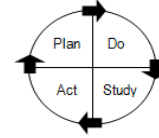
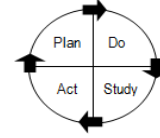
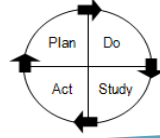
What will you change in the next test if "adapt". *(Modify intervention to reflect learning and/or increase scale)*

Adopt

Abandon

Ramp Summary: Screening for Depression and Suicide

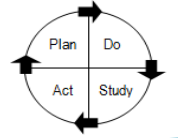
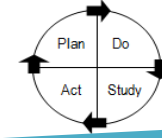
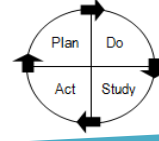
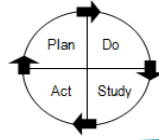
August 2020 version



	<i>Screening for Depression and Suicide Ages at 12-18 year WCC</i>	Test Cycle 1	Test Cycle 2	Test Cycle 3	Test Cycle 4
Plan	Describe the intent and structure of the test cycle.	Reliably Screen for Depression and Suicide on small scale (1 Day with Dr Walker) to test workflow	Reliably Screen for Depression and Suicide on small scale (1 Day with Dr Walker) to test workflow Include adaptations from Test 1		
Do	What changed from the previous test cycle?		<ul style="list-style-type: none"> ▪ Front desk part of QI Team ▪ Patients roomed without parent 		
Study	Results: Data and Observations	4/6 patients screened Late patient, forgot one screen and parent completing screen			
Act	Action (Adapt, Adopt or Abandon):				

Ramp Plan

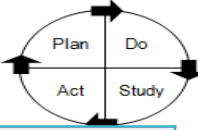
August 2020 version



<Enter Intervention>	Test Cycle 1	Test Cycle 2	Test Cycle 3	Test Cycle 4
<p>Test Cycle Description, including changes in scale/time:</p>				



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Project Title:

Screening Effectively & Empowering Now (SEEN): An ACHIA Teen Mental Wellness QI Collaborative

Intervention Name:

What key driver does this test impact?

- Universal Screening for Depression and Suicide
- Standardized Management for Concerning Screens
- Practice Follow Up Visits

Test Cycle #:

Test Cycle Start Date:

Test Cycle Completion Date:

Describe the intent and structure of the test cycle:

What would the successful test look like? Include how you will measure success for this test cycle:

What do you predict will happen? This should be your realistic prediction.

Action steps to carry out the test cycle (who, what, where & when):

Describe your observations and data. Was there anything that occurred that was not part of the plan?

STUDY:

How did the results compare to your prediction? What did you learn?

ACT: *(to be completed after the test cycle)*

Adapt

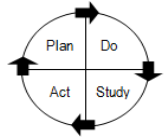
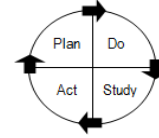
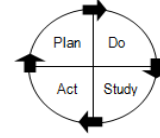
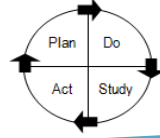
What will you change in the next test if "adapt". *(Modify intervention to reflect learning and/or increase scale)*

Adopt

Abandon

Ramp Summary

August 2020 version



	<Enter Intervention>	Test Cycle 1	Test Cycle 2	Test Cycle 3	Test Cycle 4
Plan	Describe the intent and structure of the test cycle.				
Do	What changed from the previous test cycle?				
Study	Results: Data and Observations				
Act	Action (Adapt, Adopt or Abandon):				

Process Name _____

INTERVENTIONS



CURRENT
PROCESS



FAILURE MODES



Preventing Childhood Toxic Stress: Partnering With Families and Communities to Promote Relational Health

Andrew Garner, MD, PhD, FAAP,^{a,b} Michael Yogman, MD, FAAP^{c,d}

COMMITTEE ON PSYCHOSOCIAL ASPECTS OF CHILD AND FAMILY HEALTH, SECTION ON DEVELOPMENTAL AND BEHAVIORAL PEDIATRICS, COUNCIL ON EARLY CHILDHOOD

By focusing on the safe, stable, and nurturing relationships (SSNRs) that buffer adversity and build resilience, pediatric care is on the cusp of a paradigm shift that could reprioritize clinical activities, rewrite research agendas, and realign our collective advocacy. Driving this transformation are advances in developmental sciences as they inform a deeper understanding of how early life experiences, both nurturing and adverse, are biologically embedded and influence outcomes in health, education, and economic stability across the life span. This revised policy statement on childhood toxic stress acknowledges a spectrum of potential adversities and reaffirms the benefits of an ecobiodevelopmental model for understanding the childhood origins of adult-manifested disease and wellness. It also endorses a paradigm shift toward relational health because SSNRs not only buffer childhood adversity when it occurs but also promote the capacities needed to be resilient in the future. To translate this relational health framework into clinical practice, generative research, and public policy, the entire pediatric community needs to adopt a public health approach that builds relational health by partnering with families and communities. This public health approach to relational health needs to be integrated both vertically (by including primary, secondary, and tertiary preventions) and horizontally (by including public service sectors beyond health care). The American Academy of Pediatrics asserts that SSNRs are biological necessities for all children because they mitigate childhood toxic stress responses and proactively build resilience by fostering the adaptive skills needed to cope with future adversity in a healthy manner.

abstract

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Dr Garner collaborated in conceptualizing and drafting this document, took the lead in reconciling the numerous edits, comments, and suggestions made by many expert reviewers, and made significant contributions to the manuscript; Dr Yogman collaborated in conceptualizing and drafting this document and made significant contributions to the manuscript; and all authors approved the final manuscript as submitted.

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In order to develop normally, a child requires progressively more complex joint activity with one or more adults who have an irrational emotional relationship with the child. Someone's got to be crazy about that kid. That's number one. First, last and always.

Urie Bronfenbrenner¹

INTRODUCTION

The term “toxic stress” refers to a wide array of biological changes that occur at the molecular, cellular, and behavioral levels when there is prolonged or significant adversity in the absence of mitigating social-emotional buffers.² Whether those adversity-induced changes are considered adaptive and health-promoting or maladaptive and “toxic” depends on the context. For example, in an abusive context, biological changes, such as the methylation of the glucocorticoid receptor gene,³⁻⁵ an increase in the size or activity of the amygdala,⁶⁻⁸ and a hypersensitivity to potentially threatening cues⁹ could be considered adaptive, at least initially, because those changes might promote survival in a threatening environment. But those same biological changes could prove to be maladaptive, toxic, and health harming over time.^{10,11}

This toxic stress framework is powerful, because it taps into a rich and increasingly sophisticated literature describing how early childhood experiences are biologically embedded and influence developmental outcomes across the life course.¹²⁻¹⁴ This was the focus of the original technical report on toxic stress from the American Academy of Pediatrics (AAP) in 2012.² Current threats to child well-being and long-term health, such as widening economic inequities, deeply embedded structural racism, the separation of immigrant children

from their parents, and a socially isolating global pandemic, make the toxic stress framework as relevant as ever.

That said, the toxic stress framework is a problem-focused model because it is focused on what happens biologically in the absence of mitigating social and emotional buffers. Conversely, a solution-focused approach would focus on relational health¹⁵ (see the Appendix for a glossary of terms, concepts, and abbreviations) by promoting the safe, stable, and nurturing relationships (SSNRs) that turn off the body's stress machinery in a timely manner.^{1,16,17} Even more importantly, a strengths-based, relational health framework leverages those SSNRs to proactively promote the skills needed to respond to future adversity in a healthy, adaptive manner.^{16,18,19} The power of relational health is that it not only buffers adversity when it occurs but also proactively promotes future resilience. The toxic stress framework may help to define many of our most intractable problems at a biological level, but a relational health framework helps to define the much-needed solutions at the individual, familial, and community levels (see Table 1).

This revised policy statement on childhood toxic stress builds on the 2012 policy statement¹² and technical report² by:

- Acknowledging that a spectrum of adversity exists, from discrete, threatening events (such as abuse, bullying, or disasters) to ongoing, chronic hardships (such as poverty, racism, social isolation, or neglect). These varied adversities share the potential to trigger toxic stress responses and inhibit the formation of SSNRs.
- Reaffirming an ecobiodevelopmental framework² because early childhood experiences, both

adverse and nurturing, are biologically embedded and influence the development of both disease and wellness later in life.

- Asserting that adults with core life skills are essential, not only to form and maintain SSNRs with children but also to scaffold and develop the basic social and emotional skills that enable children to be resilient and flourish despite adversity. A multigenerational perspective is fundamental.
- Promoting a public health approach that not only prevents, mitigates, and treats toxic stress but, more importantly, proactively promotes, reduces barriers to, and repairs relational health (the capacity to develop and maintain SSNRs with others).
- Emphasizing that the vertical integration of this public health approach or the layering of primary, secondary, and tertiary preventions and/or interventions is necessary because the heterogeneity of responses to adversity seen at the population level will need to be addressed through a menu of programs that are layered and matched to specific levels of individual need (universal preventions, plus targeted interventions for those at risk, plus indicated therapies for those with symptoms or diagnoses).
- Proposing that the public health approach also be integrated horizontally across multiple public service sectors (eg, health care, behavioral health, education, social services, justice, and faith communities) because SSNRs are promoted in safe, stable, and nurturing families that have access to safe, stable, and nurturing communities with a wide range of resources and services.

This policy statement asserts that to move forward (to proactively build

TABLE 1 A Comparison of the Toxic Stress and Relational Health Frameworks

	Toxic Stress	Relational Health
Definition	Toxic stress refers to the biological processes that occur after the extreme or prolonged activation of the body's stress response systems in the absence of SSNRs.	Relational health refers to the capacity to develop and sustain SSNRs, which in turn prevent the extreme or prolonged activation of the body's stress response systems.
Contribution	Toxic stress explains how a wide range of ACEs become biologically embedded and alter life-course trajectories in a negative manner.	Relational health explains how SSNRs buffer adversity and promote the skills needed to be resilient in the future.
Approach to clinical care	Toxic stress is a deficits-based approach because it is focused on the problem: those biological processes triggered by significant adversity in the absence of SSNRs.	Relational health is a strengths-based approach because it is focused on solutions: those individual, family, and community capacities that promote SSNRs, buffer adversity, and build resilience.
Primary preventions in the framework	Primary preventions in the toxic stress framework are focused on how to prevent the wide array of adversities that might precipitate a toxic stress response.	Primary preventions in the relational health framework are focused on how to universally promote the development and maintenance of SSNRs.
Secondary preventions in the framework	Secondary preventions in the toxic stress framework are focused on identifying individuals at high risk for poor outcomes resulting from toxic stress responses by using population-based risk factors (eg, ACE scores) or emerging biomarkers (eg, methylation patterns).	Secondary preventions in the relational health framework are focused on identifying the potential individual, family, and community barriers to SSNRs by developing respectful and caring therapeutic relationships with patients, families, and communities.
Tertiary preventions in the framework	Tertiary preventions in the toxic stress framework are focused on the evidence-based practices that treat toxic stress-related morbidities such as anxiety, depression, oppositional defiant disorder, posttraumatic stress disorder, and substance abuse disorder.	Tertiary preventions in the relational health framework are focused on the evidence-based practices such as ABC, CPP, or PCIT that repair strained relationships and assist them in becoming more safe, stable, and nurturing.
Summary	Toxic stress defines the problem. Toxic stress explains how many of our society's most intractable problems (disparities in health, education, and economic stability) are rooted in our shared biology but divergent experiences and opportunities.	Relational health defines the solution. Relational health explains how the individual, family, and community capacities that support the development and maintenance of SSNRs also buffer adversity and build resilience across the life course.

not only the healthy, happy children of today but also the well-regulated parents and productive citizens of the future) family-centered pediatric medical homes (FCPMHs) (see the Appendix for a detailed description) need to universally promote relational health. SSNRs not only buffer adversity when it occurs but also proactively build the foundational social and emotional skills that lead to resilience in the face of future adversity. Although pediatric and early childhood professionals have long recognized the parent-child relationship as foundational,^{20–22} the elemental nature of relational health is not reflected in much of

our current training, research, practice, and advocacy. To prevent childhood toxic stress responses and support optimal development across the life span, the promotion of relational health needs to become an integral component of pediatric care and a primary objective for pediatric research and advocacy.

A SPECTRUM OF ADVERSITY

The previous policy statement¹² and technical report² on childhood toxic stress noted the 10 adverse childhood experiences (ACEs) studied in the landmark ACEs Study that began in the 1990s:

physical, emotional, or sexual abuse; physical or emotional neglect; problematic parental substance misuse; parental mental illness; parental separation or divorce; intimate partner violence; and an incarcerated house member.²³ These adversities are associated with a wide array of negative outcomes in a dose-dependent manner, such that the higher the ACE score (1 point for each category experienced before the age of 18 years), the higher the risk for unhealthy behaviors such as tobacco, alcohol, and other substance use; risky sexual behaviors; and obesity.^{23,24} Dose-dependent relationships have also

been found between ACE scores and several of the leading causes of adult morbidity and mortality,^{23,24} including cardiovascular disease,²⁵ lung disease,²⁶ liver disease,²⁷ mental illness,²⁸ and cancer.²⁹

These well-established associations between ACEs and poor health outcomes decades later highlight the importance of understanding the biological mechanisms that allow adversity in childhood to “get under the skin” and to negatively impact life-course trajectories.^{30–36} As discussed in the 2012 AAP technical report,² toxic stress responses, in which the physiologic stress response to adversity is large, chronic, and unmitigated by social-emotional buffers, are one such mechanism. Toxic stress responses are known to alter multiple systems that interact in a reciprocal and dynamic manner: genomic function, brain structure and connectivity, metabolism, neuroendocrine-immune function, the inflammatory cascade, and the microbiome.^{13,14} Toxic stress-induced alterations also influence the adoption of maladaptive coping behaviors decades later.^{37–40}

Several researchers have noted that many other experiences in childhood are also associated with poor outcomes later in life, and these include being raised in poverty,⁴¹ left homeless,^{42–44} exposed to neighborhood violence,^{45–47} subjected to racism,^{48–50} bullied,^{51,52} or punished harshly.⁵³ This finding suggests that there is a wide spectrum of adversity that runs from discrete, threatening events (such as being abused, bullied, or exposed to disasters or other forms of violence) to ongoing, chronic life conditions (such as exposure to parental mental illness, racism, poverty, neglect, family separation or a placement in foster care, and environmental toxins or air

pollution; unrelenting anxiety about a global pandemic, climate change, or deportation; or social rejection because of one’s sexual orientation or gender identity). Although children experiencing discrete catastrophic events such as abuse are at a high risk for toxic stress responses, epidemiology suggests that the largest number of children at risk for toxic stress responses are those affected by ongoing chronic life conditions such as neglect.^{54,55} This finding suggests that although interventions targeting children with acute threats are needed urgently (eg, efforts preventing physical abuse, child trafficking, and gun violence), those interventions alone will almost certainly miss large segments of the population (eg, those experiencing the threats of parental mental illness, racism, poverty, social isolation) who may also develop toxic stress responses and their associated poor outcomes. To minimize the burden of toxic stress responses at the population level, the entire pediatric community needs to identify and address not only the acute threats to child wellness such as abuse and physical violence but also the ongoing, chronic life conditions such as racism, poverty, and isolation that are rooted in deep-seated social constructs, societal inequities (including those within the health care system), and public policies that inhibit social cohesion, equity, and relational health. Acute threats to childhood wellness such as abuse need to be taken seriously; similar attention should be given to the social inequities and ongoing, chronic life conditions that similarly imperil a child’s biological wellness and life-course trajectory.

This wide spectrum of adversity underscores the fact that ACE scores and other epidemiologically derived risk factors at the population level are not valid or reliable predictors

of outcomes at the individual level.⁵⁶ Toxic stress, by contrast, refers to an individual’s physiologic response to these adversities, and biomarkers of this physiologic response have the potential to be more sensitive and specific measures of experienced adversity at the individual level.³⁷ Validated biomarkers also offer transformational potential as measures of responsiveness to specific interventions.^{37,57} With these applications in mind, the pediatric research community is hoping to develop clinic-friendly, noninvasive biomarkers for different forms and degrees of adversity.

Finally, the diverse conditions included in a broader spectrum of adversity make the formation of SSNRs more difficult. Consequently, the challenge is not only to prevent a broad spectrum of adversities from occurring but also to prevent them from becoming barriers to the SSNRs that allow individuals from across the spectrum of adversity to be resilient and flourish despite the adversity.^{17,58,59}

An important consideration across many harmed and exploited communities (such as American Indian or Alaska Native populations) is the accumulation of toxic stress responses across generations, sometimes referred to as historical trauma.⁶⁰ Although higher levels of historical trauma are associated with poorer health outcomes, the science underlying these associations is only now being studied rigorously.⁶¹ A detailed discussion of historical trauma and the special needs of these communities is beyond the scope of this policy statement, but the layered, integrated public health approaches presented here to prevent childhood toxic stress and promote relational health might inform efforts to address historical trauma as well.

THE ECOBIODEVELOPMENTAL MODEL OF DISEASE AND WELLNESS

Fortunately, adversity in childhood is only half the story, as positive experiences in childhood are associated with improved outcomes later in life. For example, positive relational experiences, such as engaged, responsive caregivers,^{59,62-65} shared children's book reading,⁶⁶⁻⁶⁸ access to quality early childhood education,⁶⁹⁻⁷¹ and opportunities for developmentally appropriate play with others^{66,72-74} are associated with positive impacts on learning, behavior, and health. Early childhood experiences, both adverse and positive, appear to be biologically embedded and influence both disease and wellness across the life course.³⁰ The ecobiodevelopmental model of disease and wellness explains how the ongoing but cumulative and reciprocal dance between ecology and biology leads to changes at the molecular (eg, methylation patterns), cellular (eg, brain connectivity patterns), and behavioral levels (eg, tobacco, alcohol, or other substance use).^{2,17} These changes are either adaptive or maladaptive depending on the context, and they are either benefits or risks to future health, academic success, and economic productivity.⁷⁵

For example, significant adversity in the last trimester of pregnancy is associated with methylation of the child's glucocorticoid receptor gene.⁷⁶ In adults, the methylation of this gene is associated with the expression of fewer glucocorticoid receptors in the brain.⁵ Because cortisol downregulates its own production via negative feedback loops in the brain that use glucocorticoid receptors, children with fewer glucocorticoid receptors would be expected to have higher cortisol levels and be more irritable and harder to console.⁷⁷ These

changes could be considered adaptive and beneficial in the short-term because they might prepare the newborn infant for a stressful world in which the infant may need to be more vocal to have his or her needs met. But these same changes could be considered maladaptive over time because the higher cortisol levels could impair learning, and the infant's irritability could impair the formation of a strong parental bond with the infant. Conversely, early supports that allow new mothers more opportunities to bond with, breastfeed, and simply stroke their children are associated with decreases in the methylation of the glucocorticoid receptor gene, perhaps allowing infants to downregulate their stress responses more effectively.^{78,79} This finding is one of the most significant predictions of the ecobiodevelopmental model: the biological mechanisms that underlie the embedding of significant childhood adversity may also underlie the embedding of positive relational experiences in childhood. The challenge, then, is not only to prevent adversity but also (for mothers, fathers, and other engaged adults) to actively promote positive relational experiences throughout infancy and childhood.

COMPONENTS OF A PUBLIC HEALTH APPROACH TO TOXIC STRESS

The ecobiodevelopmental model suggests that, to improve the likelihood of positive developmental outcomes across the life span, efforts should be made to improve the salient features of the child's environment. Changing all of the potentially salient features of a child's environment cannot be reduced to a single intervention or program, so there will be no singular panacea when it comes to addressing childhood toxic stress responses. Rather, an integrated

public health approach (see Fig 1) is needed to support all children, including those with delays in development and special health care needs.⁸⁰⁻⁸² The foundation for any public health approach is universal primary prevention. In the case of toxic stress responses, universal primary prevention means trying to prevent the precipitants of toxic stress responses (eg, advocating to address the spectrum of adversities discussed above) as well as promote healthy, adaptive responses to adversity through the provision of social supports that nurture the development of foundational resilience skills (such as task persistence, curiosity, and self-regulation).^{16,19,59,83}

For children at higher risk for toxic stress responses, targeted secondary interventions with tiered services (eg, HealthySteps^{84,85}) may be needed. Children with known adversity but no overt symptoms,¹⁸ children with parents who experienced significant adversity as a child,⁸⁶ and families struggling with the social determinants of health (SDoHs) (eg, poverty leading to food or housing insecurity,^{87,88} language barriers, or acculturation leading to conflicts within immigrant families⁸⁹) may benefit from an array of interventions that mitigate specific risk factors. For example, the AAP currently recommends screening parents for postpartum depression⁹⁰ and food insecurity.^{87,88} Similarly, when clinical markers for an individual child's biological sensitivity to context⁹¹⁻⁹⁴ (see the Appendix for a glossary of terms, concepts, and abbreviations) are available, children of high (versus low) sensitivity may also benefit from different types of interventions.⁹⁵ In concordance with a layered public health approach, these various targeted interventions will

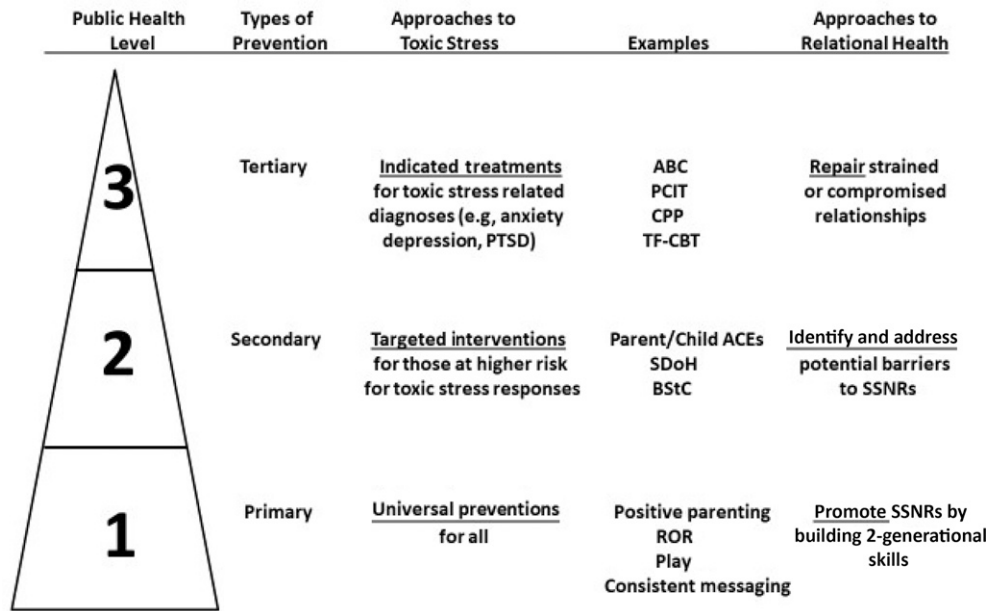


FIGURE 1 A public health approach to prevent childhood toxic stress is a public health approach to promote relational health. Many of the components of a public health approach to prevent, mitigate, and treat toxic stress responses (see examples) are also components of a public health approach to promote, identify barriers to, and repair SSNRs. The examples provided are illustrative and not intended to be comprehensive or exhaustive. See the Appendix for full descriptions of the abbreviations. BStC, biological sensitivity to context; PTSD, posttraumatic stress disorder. Adapted with permission from Garner AS, Saul RA. Thinking Developmentally: Nurturing Wellness in Childhood to Promote Lifelong Health. Itasca, IL: American Academy of Pediatrics; 2018

supplement but not replace the universal primary preventions.

For children who are symptomatic or meet criteria for toxic stress-related diagnoses (eg, anxiety, oppositional defiant disorder, or posttraumatic stress), indicated, evidence-based therapies are needed. For younger children, these therapies may include attachment and biobehavioral catch-up (ABC),⁹⁶⁻⁹⁸ parent-child interaction therapy (PCIT),⁹⁹⁻¹⁰² and child-parent psychotherapy (CPP).¹⁰³⁻¹⁰⁵ For older children, trauma-focused cognitive-behavioral therapy (TF-CBT) may be beneficial.^{106,107} The effectiveness of these evidence-based therapies may be reduced if targeted interventions are not used to address emerging areas of risk or if universal primary preventions are not applied as well.^{59,108} A layered public health approach mirrors the concept of proportionate universalism (see the Appendix for a glossary of terms, concepts, and

abbreviations), in which the delivery of universal services is at a scale and intensity that is proportionate to the degree of need.¹⁰⁹⁻¹¹² For example, if access to healthy foods is a universal objective, a proportionate response would recognize that some families may only need education about which foods are healthy, whereas some may need education about healthy foods and additional financial resources to purchase those healthy foods, and still others may require education about healthy foods, additional financial resources, and access and/or transportation to stores that sell healthy foods.

THE EMERGING SCIENCE OF RELATIONAL HEALTH

The concept of childhood toxic stress taps into a rich literature on the biology of adversity and explains the danger in overlooking significant adversity in childhood. To move forward (to proactively build healthy, resilient children), the

pediatric community needs to embrace the concept of relational health.¹⁵ Relational health refers to the ability to form and maintain SSNRs, as these are potent antidotes for childhood adversity and toxic stress responses.^{57,113} Not only do SSNRs buffer adversity and turn potentially toxic stress responses into tolerable or positive responses, but they are also the primary vehicle for building the foundational resilience skills that allow children to cope with future adversity in an adaptive, healthy manner.^{16,17} These findings highlight the need for multigenerational approaches that support parents and adults as they, in turn, provide the SSNRs that all children need to flourish.

Developmental science is only beginning to understand the way relational health buffers adversity and builds resilience, but emerging data suggest that responsive interactions between children and engaged, attuned adults are

paramount.^{1,16,114,115} Not only are infants programmed to connect socially and emotionally with adult caregivers,¹¹⁶ but the brains of parents of newborn infants appear to be reprogrammed to connect with their infants.¹¹⁷ Imaging studies of new parents demonstrate changes in several major brain circuits, including a reward circuit, social information circuit, and emotional regulation circuit.^{117,118} The reward circuit includes the striatum, ventral tegmental area, anterior cingulate cortex, and prefrontal cortex, where dopamine and rising levels of oxytocin interact to make social interactions more rewarding, thereby encouraging more parental engagement in infant care.^{118,119} The social information circuit includes structures such as the anterior insula, inferior frontal gyrus, superior temporal gyrus, and supplemental motor area, which support internal representations of what others may be experiencing and more empathic responses to infant behaviors.^{118,119} Finally, the emotional regulation circuit includes the amygdala, superior temporal sulcus, temporoparietal junction, and prefrontal cortex, which promote social cognition and a downregulation of the stress response.^{118,119} The convergent conclusion from these preliminary imaging studies of the parental brain is clear: much like the infant brain, the parental brain is programmed to connect.

Recent research suggests that this dyadic need to connect promotes the development of biobehavioral synchrony between parents and infants.^{119,120} Feldman¹¹⁹ states, “Such coordination is observed across four systems: the matching of nonverbal behavior; the coupling of heart rhythms and autonomic function; the coordination of

hormone release [eg, oxytocin following contact with both mothers and fathers]; and brain to brain synchrony [eg, coordinated brain oscillation in alpha and gamma rhythms].” Because the human brain is so immature at birth, the infant is dependent on this biobehavioral synchrony not only for survival but also for laying the foundation for future self-regulation and social-emotional skills. One expert has written that “this synchronous biobehavioral matrix builds the child’s lifelong capacity for intimacy, socio-affective skills, adaptation to the social group, and the ability to use social relationships to manage stress.”¹¹⁷ Early relational experiences with engaged and attuned adults have a profound influence on early brain and child development.

LINKS BETWEEN RELATIONAL HEALTH AND RESILIENCE

The importance of engaged and attuned adults does not end in the newborn period. In fact, there is increasing evidence that strong social-emotional supports, such as high family resilience and connection and the provision of positive childhood relational experiences, are associated with children who are resilient and flourish despite their level of adversity.^{59,121} This finding has renewed interest in defining the critical elements that children, families, and communities need to thrive despite adversity.^{18,19,65,122–124} Resilience, for example, is now understood to be the manifestation of capacities, resources, or skills that allow some children, families, and communities to respond to adversity in a healthy, adaptive manner.^{16,83,124} At the child level, foundational capabilities (such as social skills, emotional regulation, language, and executive functions like impulse inhibition, working memory, cognitive flexibility, abstract thought, planning, and problem solving) are

the building blocks of resilience and need to be modeled, taught, learned, practiced, reinforced, and celebrated.¹⁶ A recent literature review identified 5 modifiable resilience factors relevant to clinical pediatric care: (1) “addressing maternal mental health problems”; (2) “encouraging responsive, nurturing parenting”; (3) “building positive appraisal styles and executive function skills”; (4) “teaching children self-care skills and routines”; and (5) “using trauma-focused interventions and educating families about trauma.”⁸³ The emphasis on building new skills underscores the AAP’s concern that excessive screen time might limit opportunities to develop more adaptive and generalizable skills.¹²⁵

Flourishing despite adversity is another construct that has been studied. Three indicators of flourishing are amenable to parental report and are rough markers of executive function: (1) “the child shows interest and curiosity in learning new things,” (2) “the child works to finish tasks he or she starts,” and (3) “the child stays calm and in control when faced with a challenge.”⁵⁹ In analyses of data from the 2016–2017 National Survey of Children’s Health, “the prevalence of flourishing children increased in a graded fashion with increasing levels of family resilience and connection.”⁵⁹ In fact, a higher percentage of children with high adversity (ACE scores 4–9) but high family connection and resilience were flourishing (30.5%) than children with low adversity (ACE score of 0) but low family resilience and connection (26.8%).⁵⁹ Approaches to minimizing toxic stress that only look at measures of adversity (such as ACE scores or biomarkers) will miss out on opportunities to support the relational health that promotes

flourishing despite adversity. Measures of both resilience and “flourishing despite adversity” suggest that much more can be done to build the SSNRs and overall relational health that buffers adversity and builds both the skills and contexts necessary for children to thrive. The Healthy Outcomes From Positive Experiences framework promotes relational health through positive childhood experiences, such as “being in nurturing, supportive relationships; living, developing, playing, and learning in safe, stable, protective, and equitable environments; having opportunities for constructive social engagement and connectedness; and learning social and emotional competencies.”^{126,127}

A PUBLIC HEALTH APPROACH TO BUILD RELATIONAL HEALTH

Applying a public health approach to the promotion of relational health (see Fig 1) reveals that many of the universal primary preventions for toxic stress are also effective means of promoting the development of SSNRs (eg, positive parenting styles, developmentally appropriate play with others,^{66,73,74,128} and shared reading^{129,130}). Similarly, many of the risk factors for toxic stress responses that are the targets of secondary interventions are also potential barriers to the development of SSNRs that need to be identified and addressed (eg, child ACE scores, parent ACE scores, SDoHs, or even a strong biological sensitivity to context). Finally, many of the indicated treatments for children who are symptomatic as a result of toxic stress are programs that focus on repairing strained or compromised relationships (eg, ABC, PCIT, CPP, and TF-CBT). In short, a public health approach to prevent childhood toxic stress is a public health approach to promote relational health.

Vertical Integration to Match Levels of Need With Specific Interventions

Emerging data supporting a biological sensitivity to context (see the Appendix for a glossary of terms, concepts, and abbreviations) begin to explain heterogeneous responses to both adversity and interventions at the population level.^{92,131–136} Consequently, there is an urgent need for a battery of biological, behavioral, and contextual markers that might better stratify both the risks and predicted responsiveness to interventions at the individual level.³⁷ FCPMHs (see the Appendix for a detailed description) are well placed to begin matching levels of need with specific types of interventions, a process known as vertical integration.⁸²

Public health approaches are vertically integrated when they are founded on universal primary preventions (eg, promoting family resilience and connection and positive childhood experiences), with tiered, targeted interventions (eg, addressing SDoHs) and indicated treatments (eg, PCIT) being layered on this foundation, depending on the specific needs of the particular child, family, or community. This emphasis on universal primary preventions is congruent with the fact that more children are mentally and socially well and flourish as adults, regardless of their level of childhood adversity, if they also are afforded positive relational experiences and high family resilience and connection during childhood.^{59,121} Relational health includes more than “nurturing” in its traditional, spoken sense (eg, verbal warmth or responsiveness); it also includes the activities that support the relationship more broadly (eg, reading aloud and a prescription to play), and research has documented that nurturing words and actions

are inextricably linked.¹³⁷ Although there are both practice-based (eg, Reach Out and Read [ROR],^{129,138,139} the Video Interaction Project [VIP],^{66,72} HealthySteps^{84,85}) and community-based programs (eg, positive parenting programs,^{140,141} home visiting programs,^{142,143} quality early child care settings^{69,71}) that promote these early positive relational experiences, they are not funded at levels that would make them universally accessible. More importantly, they are rarely integrated vertically with other programs that layer on additional efforts to address barriers to relational health (eg, SDoHs) or already strained or compromised relationships (eg, PCIT) when needed. A vertically integrated public health approach acknowledges that universal primary preventions are absolutely necessary yet insufficient to promote relational health.

For children deemed to be at high risk for toxic stress responses, potential barriers to relational health need to be identified and addressed through team-based care¹⁴⁴ and collaborative community partnerships (eg, food banks,^{145,146} medical-legal partnerships¹⁴⁷). These additional interventions are supplemental to and do not replace universal primary preventions. Similarly, symptomatic children need to be referred to evidence-based treatment programs (eg, ABC, PCIT, CPP, TF-CBT), but these are supplemental to and do not replace either targeted interventions for potential barriers to SSNRs or the aforementioned universal primary preventions. Efforts to repair strained or compromised relationships are likely to be more effective if other potential barriers to SSNRs are being addressed (eg, parental mental illness and basic needs) and additional efforts are being made to actively promote

SSNRs (eg, the provision of developmentally appropriate play).

Horizontal Integration Across Sectors at the Community Level

A public health approach to promoting relational health should also be integrated horizontally (or across sectors) at the local level.^{81,82,148} SSNRs are easier to form when safe, stable, and nurturing families are able to live in safe, stable, and nurturing communities.^{124,149,150} The FCPMH is ideally placed to educate families about what a safe, stable, and nurturing family environment looks like for a child, but doing so will require changes at the provider and practice levels (see Table 2). However, FCPMHs are also called to advocate for policies at the federal, state, and local levels that promote safe, stable, and nurturing communities. In doing so, FCPMHs become the anchor for “medical neighborhoods,”¹⁴⁹ in which community resources across multiple sectors (eg, health, education, justice, social services, faith communities, and businesses) collaborate not only to address barriers to SSNRs (such as home visiting programs,¹⁴² HealthySteps,^{150,151} medical-legal partnerships,¹⁴⁷ coordinated responses to disasters,^{152,153} and efforts to promote access to healthy foods, safe housing, potable water, and clean air) but also to advocate for public policies (such as paid parental leave,^{154,155} income support,^{87,88} restorative justice,^{156–158} and implementation of the Family First Prevention Services Act) that intentionally and actively foster SSNRs (Table 2).^{149,159–161}

THE CENTRALITY OF RELATIONSHIPS IN PEDIATRIC CARE

A public health approach to relational health is built on the SSNRs that buffer adversity and build resilience. Such an approach

will require pediatricians, other pediatric health care professionals, and FCPMHs in general to partner with families and communities in practical and innovative ways to universally promote SSNRs, address potential barriers to SSNRs in a targeted manner, and afford indicated treatments that repair relationships that have been strained or compromised (see Table 2). But underlying this approach are 2 fundamental assumptions. The first is that pediatric providers will have the financial supports needed to expand their capacity for developing respectful, continuous, trusted, and nurturing relationships with both the patients and caregivers of the patients who they serve. Without strong therapeutic alliances with patients, caregivers, and families, few of the recommended universal primary preventions will be implemented, few of the targeted interventions will be used, and few of the indicated treatments will be sought. To promote SSNRs at the practice level, both financial incentives (eg, payment reforms) and enhanced training needs to be provided.^{162,163} Pediatric providers should be afforded the following: (1) sufficient time with patients and families, (2) the benefit of long-term continuity with patients and families, and (3) opportunities to learn about and practice the interpersonal and communication skills needed to form respectful, trusted, and collaborative therapeutic relationships.¹⁶² For parents to trust, pediatric providers need to listen and understand parental concerns and beliefs before making recommendations. Communication could be further enhanced by cultural humility,^{164,165} implicit bias training,^{166–171} a more diverse health care team (eg, providing families and patients the opportunity to seeing themselves reflected in the sex, ethnicity, and

cultural backgrounds of the team members), and access to professional interpreters. In the end, the ability of the FCPMH to leverage change within the family context is entirely dependent on the capacity of the pediatric providers to form strong therapeutic relationships with the patients, caregivers, and families.

The second assumption is that the FCPMH will have the capacity to form working relationships with a wide array of community partners. The FCPMH alone cannot leverage significant change within the community context. Changing community contexts will require healthy, trusting, and robust partnerships with a wide array of local community partners from multiple sectors (education, social services, and businesses), not only to facilitate family access to the requisite community interventions but also to coordinate effective advocacy campaigns to secure both those interventions and family-friendly public policies. Simply put, successfully implementing a public health approach that prevents childhood toxic stress and promotes SSNRs will require FCPMHs to put relational health at the center of everything they do.¹⁷²

ACKNOWLEDGING THE ROLE AND TOLL OF SOCIAL ISOLATION

There is an emerging evidence base that social isolation is on the rise and detrimental to both individual¹⁷³ and community health.¹⁷⁴ Social scientists have documented the fragmentation of society at the community level¹⁷⁵ as well as its negative impact on how communities view their collective stewardship of their most treasured resource: their children.¹⁷⁶ Psychologists have decried a “crisis of connection” and point to a culture that values the self over relationships and individual

TABLE 2 Implementing a Public Health Approach to Relational Health Will Require Changes at the Provider, Practice, and Community Levels, as Well as Horizontal Integration Across Sectors

Types of Prevention	Approaches to Relational Health	Examples at the Provider Level	Examples at the Practice Level	Examples at the Community Level
Tertiary	Repair strained or compromised relationships	Build the therapeutic alliance; employ a common-factors approach; explain behavioral responses to stress; endorse referral resources.	Colocate counseling services (warm handoffs); facilitate, track, and follow-up on referrals offered.	Embrace restorative justice and social inclusion (over punitive measures and exclusion).
Secondary	Identify and address potential barriers to SSNRs	Build the therapeutic alliance; surveil for possible barriers to SSNRs; champion screening at practice level; endorse referral resources.	Universal screening for prevalent barriers seen in that practice; facilitate, track, and follow-up on referrals offered.	Identify and address sources of inequity, isolation, and social discord (poverty and racism).
Primary	Promote SSNRs by building 2-generational relational skills	Build the therapeutic alliance; promote positive parenting; encourage developmentally appropriate play.	Provide or support positive parenting classes; participate in ROR, VIP, and other programs that support the dyad.	Implement home visiting; support extended family medical leave.

See the Appendix for full descriptions of the abbreviations.

successes over the general welfare, leading to declining levels of empathy and trust.¹⁷⁷ Epidemiologists have demonstrated that an individual's degree of social isolation is a powerful predictor of mortality, much like traditional clinical risk factors (eg, obesity or hypertension) or ACE scores.¹⁷⁸ Both epidemiologists and economists have pointed to increasing levels of inequity as correlating with poorer levels of overall health for both the impoverished and the wealthy.¹⁷⁴ Finally, physiologists have long known that social deprivation in childhood alters the programming of the body's stress response.^{179,180}

Taken together, these diverse lines of inquiry suggest that it may not actually be the wide spectrum of childhood adversity that drives poor outcomes but the degree to which that adversity drives shame, guilt, anger, alienation, disenfranchisement, and degree of social isolation.^{181,182} If so, the proposed public health approach toward the promotion of SSNRs is needed, not only to buffer adversity and promote resilience but also to begin bridging political, religious, economic, geographic, identity-based, and ideological divides that increase social isolation, encourage tribalism, diminish empathy, and, ultimately, drive poor outcomes in the medical, educational, social service, and justice systems.

For many resource-poor families and older children, overall relational health is dependent not only on dyadic serve and return interactions with family members but also on trusted, SSNRs with others in the community through interactions at the medical clinic, school, recreation leagues, faith-based and civic organizations, community improvement efforts, and employment opportunities. Along

these lines, the Aspen Institute has created the Social Fabric Project to incentivize local projects that prioritize the building of relationships and community connections over a focus on self-absorption and hyperindividualism.¹⁸³ Similarly, more attention could be given to the built environment and need for public green spaces, such as parks, to promote social cohesion and a sense of community belonging.^{184,185}

Finally, it should be noted that public health mandates to maintain “social distancing” during the coronavirus pandemic actually refer to physical distancing and are not intended to further isolate, alienate, or disenfranchise already vulnerable populations. If nothing else, pandemic-mandated stay-at-home orders should increase our collective awareness of the distress associated with being socially isolated or vulnerable. The coronavirus pandemic has highlighted the urgent need to provide all children with the SSNRs that buffer unexpected adversities and build the skills necessary to be resilient.

A RENEWED COMMITMENT TO SCIENCE-BASED POLICY FORMATION

In the decade since the first AAP policy statement and technical report on childhood toxic stress were published, even more evidence has accumulated that:

1. “What happens in childhood does not stay in childhood.”^{186,187} Adverse experiences in childhood are not destiny, but for many children, significant adversity bends life-course trajectories for the worse.
2. In the absence of SSNRs, many different forms of childhood adversity (from catastrophic episodes of abuse or violence to chronic conditions, such as

exposure to racism, poverty, and/or neglect) can lead to toxic stress responses that result in changes at the molecular, cellular, and behavioral levels and negatively impact outcomes in health, education, and economic productivity.

3. Individual variation in biological sensitivity to context (see the Appendix for a glossary of terms, concepts, and abbreviations) contributes to heterogeneity in both responses to adversity and responses to interventions. This has important implications for how we nurture and fulfill the potential of all children, not just those who are relatively less sensitive to their contexts and appear to be relatively more resilient despite adversity.
4. In the presence of SSNRs, a limited degree of childhood adversity (eg, normative childhood frustrations and setbacks) can lead to the positive stress responses that build the rudiments of resilience: a set of social and emotional skills that allow children to adapt to future adversity in a healthy manner.
5. Relational health, in the form of at least one SSNR, is a universal, biological imperative for children to fulfill their potential; to be healthy and resilient; to be successful academically, economically, and socially; and, perhaps most importantly, to be the caregivers that value and build SSNRs with subsequent generations.

Society is currently trending toward division, marginalization, alienation, and social isolation.¹⁷⁷ In opposing this trend and calling for a public health approach that builds SSNRs, the AAP is working to translate the latest developmental science into practices and public policies (see Table 2) that build healthy, resilient children. With almost a century of service to children, families, and

communities, the field of pediatrics has made critical contributions at the interface of science and public policy. Be it child labor laws, federal grants to states to promote maternal-child health, support for paid parental leave after childbirth, required immunizations to attend school, the use of car safety seats, the adoption of children by same-sex parents, the harms of corporal punishment, the safe storage of firearms, the care of immigrant children in federal custody, the negative effect of toxins and global warming on child health, or the importance of nutrition and income support for healthy families, pediatric professionals have been a powerful force for bringing a scientifically grounded, evidence-based perspective to public debates. The AAP remains committed to respond when empirical evidence and the latest developmental science shine new light on the issues and trends of the day. Simply put, public policies, social constructs, and societal norms that divide, marginalize, alienate, and isolate are clear threats to the well-being of all children. The commitment of the AAP to the well-being of all children requires that it not only address a wide spectrum of adversities but, also, that it speak against public policies, social constructs, and societal norms that perpetuate the ongoing, chronic precipitants of toxic stress responses such as poverty^{87,88} and racism¹⁶⁶ and for public policies that promote relational health, inclusion, and equity.^{111,188–191}

APPLICATION OF SCIENCE-BASED PRINCIPLES TO STRENGTHEN PEDIATRIC PRACTICE

Drawing on a framework produced by the Center on the Developing Child at Harvard University,¹⁹² this policy statement highlights the following 3 science-informed principles to prevent toxic stress

responses and to build healthy, resilient children.

Support Nurturing Relationships

Of the 3 principles, this is the one that aligns most clearly with the core functions of the FCPMH and is, therefore, the primary focus of this policy statement. The use of trusted, supportive relationships within the FCPMH to promote the relational health of families is an emerging focal point for pediatric clinical research, and pediatric primary care is increasingly seen as a venue for fostering social-emotional health.^{193,194} These universal primary prevention strategies form the base of the public health pyramid (Fig 1 and Table 2), but additional, layered interventions that recognize and address child-level (eg, delays in development and a biological sensitivity to context), family-level (eg, poverty and parent mental illness), and community-level (eg, racism and violence) barriers to SSNRs may also be required for some families, whereas others will need even more intensive, evidence-based treatments (eg, ABC, PCIT, CPP, TF-CBT) to repair relationships that are already strained or compromised. The buffering and skill-building roles of responsive relationships are biologically embedded, and they are essential promoters of healthy development.⁵⁹ Existing AAP reports on managing perinatal depression,⁹⁰ supporting grieving children,¹⁹⁵ fostering male caregiver engagement,¹⁹⁶ partnering with home visiting programs,¹⁴² encouraging developmentally appropriate play,^{74,197} discouraging screen time,¹²⁵ and promoting shared-book reading^{67,68} include additional recommendations on ways primary care pediatricians might promote SSNRs.

Reduce External Sources of Stress on Families

This principle points to the potential benefits of addressing stressors from across the spectrum of adversity, including those that might have been considered well beyond the scope of traditional pediatric practice in the past. Poverty, food insecurity, housing insecurity, racism, community violence, discrimination, alienation, disenfranchisement, and social isolation are examples that impose significant hardships on families and become potential barriers to developing SSNRs. FCPMHs could work to reduce these barriers by partnering with their AAP chapter, local organizations (such as schools, businesses, and faith-based organizations), and other community assets (including parents, extended family, child care providers, community health workers, and patients) to form medical neighborhoods^{149,159,161} that work collaboratively to address the SDOHs while also advocating for policies that support safe, stable, and nurturing families and communities. For example, expanding family leave policies¹⁵⁴ could reduce family stress and promote positive childhood experiences. Similarly, advocating for a Health in All Policies approach could advance health equity and minimize family and community distress by addressing the underlying economic inequities.^{198–200} The commitment of the AAP to decreasing family stress is manifest in many of its official statements, including poverty,^{87,88} racism,¹⁶⁶ maternal depression,⁹⁰ disasters,^{152,153} father engagement,¹⁹⁶ home visiting,¹⁴² and the importance of play.^{74,197}

Strengthening Core Life Skills

The strengthening of core life skills (eg, executive function and self-regulation) is needed for families

and communities to provide well-regulated, nurturing environments. Although intensive, capacity-building efforts for parents and other caregivers with limited executive function skills is beyond the scope of most pediatric settings, providing information and support around basic child-rearing practices and establishing daily routines is a cornerstone of traditional primary care. Caregivers with core life skills are essential for the development of executive function and self-regulation skills in their children. The guidelines on parent education and support in *Bright Futures: Guidelines for Health Supervision of Infants, Children, and Adolescents* (4th edition) is a starting point for all families,²⁰¹ but there is a need to provide more effective, individualized, evidence-based parenting supports (eg, ROR, HealthySteps, VIP) beyond simply providing information about child development. Integrated behavioral health services as part of the FCPMH team might be the next layer for parents who need additional assistance (eg, parental depression), and the need for more intensive skill building (eg, PCIT) for some parents becomes yet another focus for collaboration with key services within the community (eg, ABC, PCIT, CPP, and TF-CBT). Understanding, practicing, and reinforcing executive functions and self-regulation skills (eg, managing strong emotions, ensuring adequate sleep, and getting regular exercise) is essential because all caregivers need these skills to create the kinds of environments in which children thrive.^{16,37,59} Whether an adult coaching or skill-building component is incorporated within a FCPMH or connected to it in a collaborative manner, the essential role that these programs play in promoting the healthy development of children is clear, especially for those who are the most disadvantaged.^{1,16}

Realizing the full impact of these principles within primary care practice, however, will also require fundamental changes in medical education and payment models. To usher in these fundamental reforms, more pediatricians will need to assume leadership positions outside the realm of clinical care.^{202,203} In addition, pediatric training programs will need to educate residents about the ecobiodevelopmental model, train them on how to develop strong therapeutic relationships with parents and caregivers, teach them how to model nurturing and affirming interactions with children of all ages, train them how to encourage caregivers to have positive relational experiences with children of all ages, prepare them to work as part of interdisciplinary teams^{144,150} (eg, integrated with behavioral health and social service professionals), educate them on how to develop collaborative partnerships with community referral resources, and encourage them to become vocal advocates for public policies that promote safe, stable, and nurturing families and communities.

Foremost on the advocacy agenda will be the need for serious payment reforms that consider the complexity of care attributable to adverse family and community contexts and include financial supports that incentivize families to engage with an FCPMH.²⁰⁴ Payment reforms need to be sufficient to allow FCPMHs to spend more time with families, function as interdisciplinary teams, integrate into their community's initiatives and services to support children and families (horizontal integration), and anchor medical neighborhoods that not only foster wellness in childhood but promote positive outcomes across the life span.

SUMMARY AND RECOMMENDATIONS

Preventing childhood toxic stress responses, promoting resilience, and optimizing development will require that all children be afforded the SSNRs that buffer a wide range of adversities and build the foundational skills needed to cope with future adversity in an adaptive, health-promoting manner. The 3 principles described above, each of which is grounded in the research literature, provide a science-based framework for developing innovative strategies to promote SSNRs at the dyadic level, family level, and community level. Translating these principles into pediatric practice will require FCPMHs to:

1. Understand the toxic stress framework, which explains how many of our society's most intractable problems, such as disparities in health, education, and economic stability, are rooted in our shared biology but divergent experiences and opportunities (see Table 1).
2. Understand the relational health framework, which explains how the individual, family, and community capacities that support the development and maintenance of SSNRs also buffer adversity and build resilience across the life course (see Table 1).
3. Foster strong, trusted, respectful, and supportive relationships with patients and their families to encourage the acceptance of individualized prevention, intervention, and treatment strategies. Doing so will require all health professionals to address their implicit biases, develop cultural humility, and provide culturally competent recommendations.
4. Foster strong, trusted, respectful, and effective collaborations with the community partners who are well-positioned to provide the individualized prevention, intervention, and treatment strategies.
5. Acknowledge that a wide range of adversities, from discrete, threatening events to ongoing, chronic life conditions, share the potential to trigger toxic stress responses and inhibit the formation of SSNRs.
6. Embrace an ecobiodevelopmental model for understanding how both adverse and positive relational experiences in childhood become biologically embedded and impact both negative and positive outcomes across the life course.
7. Move beyond singular, panacea programs toward a layering of interventions that are integrated, both vertically and horizontally, into the local public health efforts to promote safe, stable, and nurturing communities, families, and relationships.
8. Employ a vertically integrated public health approach to promote relational health that is founded on universal primary preventions (such as positive parenting programs, ROR, and developmentally appropriate play) but also offers more precise screening for relational health barriers (such as maternal depression, food insecurity, or exposure to racism) as well as indicated treatments to repair strained or compromised relationships (such as ABC, CPP, PCIT, and TF-CBT).
9. Become hubs for medical neighborhoods, horizontally integrating a wide array of local efforts and early childhood initiatives that not only support families with resources and programs but also advocate for

the public policies that promote safe, stable, and nurturing families and communities.

10. Advocate that health systems, payers, and policy makers at all levels of government align incentives and provide funding to promote the universal primary prevention work discussed in this policy statement. FCPMHs are well-suited and even inclined to support the formation and maintenance of SSNRs as outlined in this policy statement, but they are not currently funded to do so.²⁰⁵

Finally, to develop the physician leadership for the FCPMHs of the future, pediatric training programs will need to:

1. Educate residents about the ecobiodevelopmental model and the implications for not only health care but education, juvenile justice, and public policy.
2. Provide longitudinal experiences that train residents on how to develop strong, trusted, respectful, and supportive relationships with parents and caregivers. Doing so will require all trainees to address their implicit biases, develop cultural humility, and provide culturally competent recommendations.
3. Teach residents how to identify and develop collaborative relationships with the local referral resources and early childhood initiatives in their communities.
4. Prepare residents to work as part of the interdisciplinary teams¹⁴⁴ that transform FCPMHs into hubs for medical neighborhoods.¹⁶¹
5. Educate residents about the many different facets of a fractured early childhood system of care (eg, Medicaid, Individuals with

Disabilities Education Act Parts C and B, Child Care and Development Block Grants, Head Start, etc), as there is little collaboration or communication between the systems, funders, and programs that address child health, out-of-home child care, education, special education, protective services, or public health. Trainees need to understand all of these many facets so they are prepared to be effective advocates for their patients and families.

6. Encourage them to become leaders in interdisciplinary early childhood systems work and vocal advocates for public policies that promote positive relational experiences in safe, stable, and nurturing families and communities.

APPENDIX

Glossary of Terms, Concepts, and Abbreviations

ABC

Acronym for Attachment and Biobehavioral Catch-up; ABC is an evidence-based program of interventions to assist foster parents in nurturing children who have experienced disruptions in care.

ACEs

Acronym for adverse childhood experiences. In the original ACE Study, 10 categories of adversity were examined: emotional, physical, and sexual abuse; 5 measures of household dysfunction, including the mother being treated violently (intimate partner violence), household substance abuse, household mental illness, parental separation or divorce, and incarcerated household member; and emotional or physical neglect. Other investigators have applied the term ACEs to additional adversities known to affect child health, such as poverty, neighborhood violence, and

exposure to racism. Although this term is frequently used to refer to the child's experiences (child ACEs), it has also been applied to the adversities that parents experienced during their own childhoods (parental ACEs).

ACE Score

The ACE score is the sum of the 10 original categories of ACEs experienced before the 18th birthday. To determine an individual's ACE score, see <http://acestoohigh.com/got-your-ace-score>.

Biobehavioral Synchrony

Biobehavioral synchrony refers to the matching of nonverbal behaviors (eg, eye contact), coupling autonomic functions (eg, heart rate), coordination of hormone release (eg, oxytocin), and alignment of brainwaves between a parent and an infant.

Biological Sensitivity to Context

Biological sensitivity to context is a theory with emerging evidence "that children differ in their susceptibility to environmental influence in a 'for better and for worse' manner, depending on their psychobiologic reactivity to stress." As a consequence, "the very characteristics that are often thought of as children's frailties (eg, high stress reactivity) can also be their strengths, given the right context."^{91,131,134,206}

Common-Factors Approach

The common factors are communication skills that help to build a therapeutic alliance (the bond felt between the clinician and

*The quoted material in this entry is from Ellis BJ. *Biological Sensitivity to Context/ Adaptive Calibration Model*. University of Utah, Department of Psychology, College of Social & Behavioral Science. Available at: <https://psych.utah.edu/research/labs/biological-sensitivity.php>.

patient and/or family, a powerful factor in facilitating emotional and psychological healing), which, in turn, increases the patient and/or family's optimism, feelings of well-being, and willingness to work toward improved health. Other common-factors techniques target feelings of anger, ambivalence, and hopelessness, family conflicts, and barriers to behavior change and help seeking. Still other techniques keep the discussion focused, practical, and organized. These techniques come from family therapy, cognitive therapy, motivational interviewing, family engagement, family-focused pediatrics, and solution-focused therapy. They have been proven useful and effective in addressing mental health symptoms in pediatrics across the age spectrum (as per the AAP policy statement on mental health competencies in pediatric care).

CPP

Acronym for child-parent psychotherapy; CPP is an evidence-based, psychoanalytic approach for treating dysfunctional parent-child relationships based on the theory that the parent has unresolved conflicts with previous relationships.

Ecobiodevelopmental

The ecobiodevelopmental framework asserts that the ecology becomes biologically embedded, and there is an ongoing but cumulative dance between the ecology and the biology that drives development over the life span.

Executive Functions

Executive functions are the cognitive skills needed to control behavior and attain goals. Executive functions are core life skills, and they include capacities like impulse inhibition, working memory, cognitive flexibility, abstract thought, planning, and problem solving.

FCPMH

Acronym for the family-centered pediatric medical home; in an FCPMH, the pediatrician leads an interdisciplinary team of professionals providing care that is:

- family-centered: the family is recognized and acknowledged as the primary caregiver and support for the child, ensuring that all medical decisions are made in true partnership with the family;
- accessible: care is easy for the child and family to obtain, including geographic access and insurance accommodation;
- continuous: the same primary care clinician cares for the child from infancy through young adulthood, providing assistance and support to transition to adult care;
- comprehensive: preventive, primary, and specialty care are provided to the child and family;
- coordinated: a care plan is created in partnership with the family and communicated with all health care clinicians and necessary community agencies and organizations;
- compassionate: genuine concern for the well-being of a child and family are emphasized and addressed; and
- culturally effective: the family and child's culture, language, beliefs, and traditions are recognized, valued, and respected.

An FCPMH is not a building or place; it extends beyond the walls of a clinical practice. A medical home builds partnerships with clinical specialists, families, and community resources. The medical home recognizes the family as a constant in a child's life and emphasizes partnership between health care professionals and families (as per the National Resource Center for the Patient/Family-Centered Medical Home at the AAP).

If properly funded, FCPMHs are well placed to implement the following functions:

- screening for behavioral and developmental risk factors and diagnoses, including mental health conditions, developmental delays, SDOHs, and family-level risk and resilience factors;
- care coordination, linking families to community-based supports to address SDOHs, parenting concerns, developmental delays, and behavioral and mental health concerns;
- integrated behavioral health and family support services through colocated, interdisciplinary teams that include case management, behavioral health services, and positive parenting programs;
- preventive and dyadic mental health services that do not require a psychiatric diagnosis code for payment, thereby enabling the deployment of primary and secondary prevention strategies before the emergence of behavioral or medical disorders;
- enhanced payment for prolonged medical visits, allowing for more patient-centered communication, interdisciplinary care, and development of therapeutic alliances; and
- ancillary support services (interpretation, telemedicine, transportation, etc) enabling youth with special health care needs to access the many layers of support that they frequently require.

HealthySteps

HealthySteps is an evidence-based, interdisciplinary pediatric primary care program that promotes positive parenting and healthy development for infants and toddlers, with an emphasis on families living in low-income communities. HealthySteps uses a tiered approach to match services with the level of need, and the core components include: (1) child development social-emotional, and behavioral screening, (2) screening for family needs, (3) child development support line (eg, phone,

text, e-mail, and online portal), (4) child development and behavioral consultants, (5) care coordination and systems navigation, (6) positive parenting guidance and information, (7) early learning resources, and (8) ongoing, preventive team-based well-child visits.

Horizontal Integration

A public health approach that cuts across traditional silos and funding streams; a horizontally integrated public health approach also includes the educational, civic, social service, and juvenile justice systems.

Medical Neighborhood

Extends the concept of the FCPMH into the local community; in a medical neighborhood, the FCPMH or health system anchors and supports cross-sector efforts to address family needs (eg, the SDoH), promote population level wellness, and collectively advocate for needed funding and policy changes.

PCIT

Acronym for Parent-Child Interaction Therapy; PCIT is an evidence-based intervention to change the patterns of parent-child interactions to improve the parent-child relationship.

Positive Childhood Experiences

Reciprocal experiences with engaged and attuned adults (like those that occur during developmentally appropriate play) that build SSNRs; they are warm, affirming, and inclusive, and they promote early relational health.

Relational Health

The capacity to develop and maintain SSNRs with others; relational health is an important predictor of wellness across the life span.

Resilience

The capacity to respond to adversity in a healthy, adaptive manner;

resilience is the manifestation of skills (eg, social skills, emotional regulation, language, and executive functions) that can be modeled, taught, learned, practiced, and reinforced.

Restorative Justice

Refers to efforts to repair the harm that occurs with unjust behaviors, as opposed to retributive or punitive justice, which simply punishes those who have acted unjustly. Typically, restorative justice allows the victims and the offenders to mediate a restitution agreement that is satisfactory to both parties. In this way, the victims play an active role in communicating with and understanding the offenders, and the offenders have the chance to take responsibility for their actions, identify steps that might prevent offending behaviors in the future, and redeem themselves in the eyes of the victims and community (as per Garner and Saul¹⁷)

ROR

Acronym for Reach Out and Read; ROR is a nonprofit organization and early literacy program. ROR provides age appropriate books and encourages parents to regularly read to and interact with their children to support school readiness and healthy parent-child relationships.

SDoHs

Acronym for the social determinants of health; SDoHs refer to conditions where people live, learn, work, and play (like socioeconomic status, social capital, or exposure to discrimination or community violence) that are known to affect health outcomes across the life span.

SSNRs

Acronym for safe, stable, and nurturing relationships; these allow the child to feel protected, connected, and competent.

TF-CBT

Acronym for Trauma-Focused Cognitive Behavioral Therapy; TF-CBT is an evidence-based, manualized, skills-based therapy that allows parents and children to better process emotions and thoughts related to traumatic experiences.

Toxic Stress

The biological response to frequent, prolonged, or severe adversities in the absence of at least one safe stable and nurturing relationship; these biological responses might be beneficial or adaptive initially, but they often become health harming or maladaptive or “toxic” over time or in different contexts.

Vertical Integration

A public health approach that includes primary universal preventions to promote wellness (like promoting positive parenting practices), secondary targeted interventions for those deemed to be at risk for poor outcomes (like using biomarkers both to identify those at higher risk and to monitor the effectiveness of various interventions), and tertiary evidence-based treatments for the symptomatic (like referring to providers trained in TF-CBT).

VIP

Acronym for the Video Interaction Project; VIP uses video-taped interactions of parent-child dyads to teach parents how to be more engaged, attuned, and responsive to their child’s developing behaviors.

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ABBREVIATIONS

AAP: American Academy of Pediatrics
ABC: attachment and biobehavioral catch-up
ACE: adverse childhood experience
CPP: child–parent psychotherapy
FCPMH: family-centered pediatric medical home
PCIT: parent–child interaction therapy
ROR: Reach Out and Read
SDoH: social determinants of health
SSNR: safe, stable, and nurturing relationship
TF-CBT: trauma-focused cognitive-behavioral therapy
VIP: Video Interaction Project

appropriate.

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Trauma-Informed Care

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Most children will experience some type of trauma during childhood, and many children suffer from significant adversities. Research in genetics, neuroscience, and epidemiology all provide evidence that these experiences have effects at the molecular, cellular, and organ level, with consequences on physical, emotional, developmental, and behavioral health across the life span. Trauma-informed care translates that science to inform and improve pediatric care and outcomes. To practically address trauma and promote resilience, pediatric clinicians need tools to assess childhood trauma and adversity experiences as well as practical guidance, resources, and interventions. In this clinical report, we summarize current, practical advice for rendering trauma-informed care across varied medical settings.

abstract

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INTRODUCTION

Experiences in childhood, both positive and negative, have a significant effect on subsequent health, mental health, and developmental trajectories. For many children and adolescents, traumatic experiences are all too common. Almost one-half of American children, or 34 million younger than 18 years, have faced at least 1 potentially traumatic early childhood experience.¹⁻⁷ Such traumas may include those originating outside the home, such as community violence, natural disasters, unintentional injuries, terrorism, immigrant or refugee traumas (including detention, discrimination,^{6,8,9} or racism), and/or those involving the caregiving relationship, such as intimate partner violence, parental substance use, parental mental illness, caregiver death, separation from a caregiver, neglect, or abuse, originally defined as adverse childhood experiences (ACEs).¹⁰ For many children, medical events, such as injury, medical procedures, and/or invasive medical treatments, can be traumatic. Given the robust science explaining the physiologic consequences of accumulated trauma experiences on the brain and body,¹¹⁻¹⁴ there have been calls for pediatric clinicians to address childhood trauma and child traumatic stress.^{10,14-16} However,

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practical guidance about how to consider, address, and operationalize this care, although necessary, has been insufficient.

Pediatric clinicians are on the front lines of caring for children and adolescents and, thus, have the greatest potential for early identification of and response to childhood trauma. Data indicate that, although pediatric providers intuitively understand the negative effects of trauma, they report a lack of knowledge, time, and resources as major barriers to providing trauma-informed care (TIC).^{5,6} Yet, experts believe that the complete assessment of child and adolescent behavioral, developmental, emotional, and physical health requires consideration of trauma as part of the differential diagnosis to improve diagnostic accuracy and appropriateness of care.^{17,18}

TIC is defined by the National Child Traumatic Stress Network as medical care in which all parties involved assess, recognize, and respond to the effects of traumatic stress on children, caregivers, and health care providers. This includes attention to secondary traumatic stress (STS), the emotional strain that results when an individual hears about the first-hand trauma experiences of another. In the clinical setting, TIC includes the prevention, identification, and assessment of trauma, response to trauma, and recovery from trauma as a focus of all

services. TIC can be conceptualized in a public health stratification, as summarized in Table 1:

- primary prevention of trauma and promotion of resilience;
- secondary prevention and intervention for those exposed to potentially traumatic experiences, including caregivers, siblings, guardians, and health care workers; and
- tertiary care for children who display symptoms related to traumatic experiences.

This clinical report and the accompanying policy statement¹⁹ address secondary prevention and intervention: practical strategies for identifying children at risk for trauma and/or experiencing trauma symptoms. “Children,” unless otherwise specified, refers to youth from birth to 21 years of age. These clinical strategies and skills include the following^{16,20}:

- knowledge about trauma and its potential lifelong effects;
- support for the caregiver-child relationship to build resilience and prevent traumatic stress reactions;
- screening for trauma history and symptoms;
- recognition of cultural context of trauma experiences, response, and recovery;
- anticipatory guidance for families and health care workers;
- avoidance of retraumatization;

- processes for referral to counseling with evidence-based therapies when indicated; and
- attention to the prevention and treatment of STS and associated sequelae.

Pediatricians have a powerful voice and reach that could promote the policies and procedures necessary to transform pediatric health care into a TIC system. This guidance for pediatric clinicians is organized around 5 strategies for implementation to become trauma informed: awareness, readiness, detection and assessment, management, and integration. The companion policy statement¹⁹ outlines broad recommendations for implementing TIC in child health systems.

AWARENESS

Pediatric clinicians can promote resilience, identify adversity and trauma, and ameliorate the effects of adversity in their work with children and families. Although the epidemiology and physiology of trauma have been explored in the literature,^{9,12,13,21,22} few concepts have been translated into the provision of practical TIC in pediatric settings.^{6,16,23} Awareness of the science and epidemiology of trauma provides the scientific grounding for the practices of TIC.

TABLE 1 Range of Trauma Experiences, Symptoms, and Response

Potentially Traumatic Experiences	Trauma Symptoms (Table 5)	Office Response
None	None to some	Primary prevention: anticipatory guidance; resilience promotion
Single-incident or minor trauma	None or latent or mild	Secondary prevention: anticipatory guidance; resilience promotion; trauma-informed guidance; close monitoring; screen for trauma history and symptoms
Major event or cumulative	Mild to moderate	Secondary and tertiary prevention: anticipatory guidance; resilience promotion; psychoeducation; trauma-informed guidance, close monitoring, and follow-up; possible referrals to community services, mental health
Major event or cumulative	Moderate to severe	Tertiary prevention and treatment: anticipatory guidance; resilience promotion; psychoeducation; trauma-informed guidance, close monitoring, and follow-up; avoidance of retraumatization; referrals to community services; referral to evidence-based and evidence-informed trauma mental health services

Adapted from Forkey H, Griffin J, Szilagyi M. *Childhood Trauma and Resilience: A Practical Guide*. Itasca, IL: American Academy of Pediatrics; 2021.

Safe, Stable, and Nurturing Relationships

The most fundamental adaptational mechanism for any child is a secure relationship with a safe, stable, nurturing adult who is continuous over time in the child’s life.²⁴ This is usually the child’s parent or caregiver but can involve extended family and biological or fictive kin. It is in the protective context of this secure relationship that the child develops the varied resilience skills that will prevent or ameliorate the effects of cumulative adversities. The nurturing caregiver protects the child from harm, mediates the world for the child, and helps the child to develop the adaptive skills to

manage stressful experiences. Physiology, in addition to psychology, is affected by protective relationships.^{14,25-27}

Toxic Stress and Trauma

All children experience some stress and adversity at some point in life, but when it is managed within the context of these nurturing relationships, such events can be weathered and even used for growth. Adverse events that lead to the frequent or prolonged activation of the stress response (see Fig 1) in the relative absence of protective relationships has been termed “toxic stress” in the pediatric literature.¹⁴ Toxic stress responses result from

events that may be long lasting, severe in intensity, or frequent in occurrence. The available caregiver support is insufficient to turn off the body’s stress response. It is critical to note that the toxic stress response has 2 components: the significant stressors and the relative insufficiency of protective relationships. In sum, there is a marked imbalance between stressors and protective factors.²⁸

Toxic stress responses can result in potentially long-lasting or lifelong impairments in physical and mental health through biological processes that embed developmental, neurologic, epigenetic, and immunologic

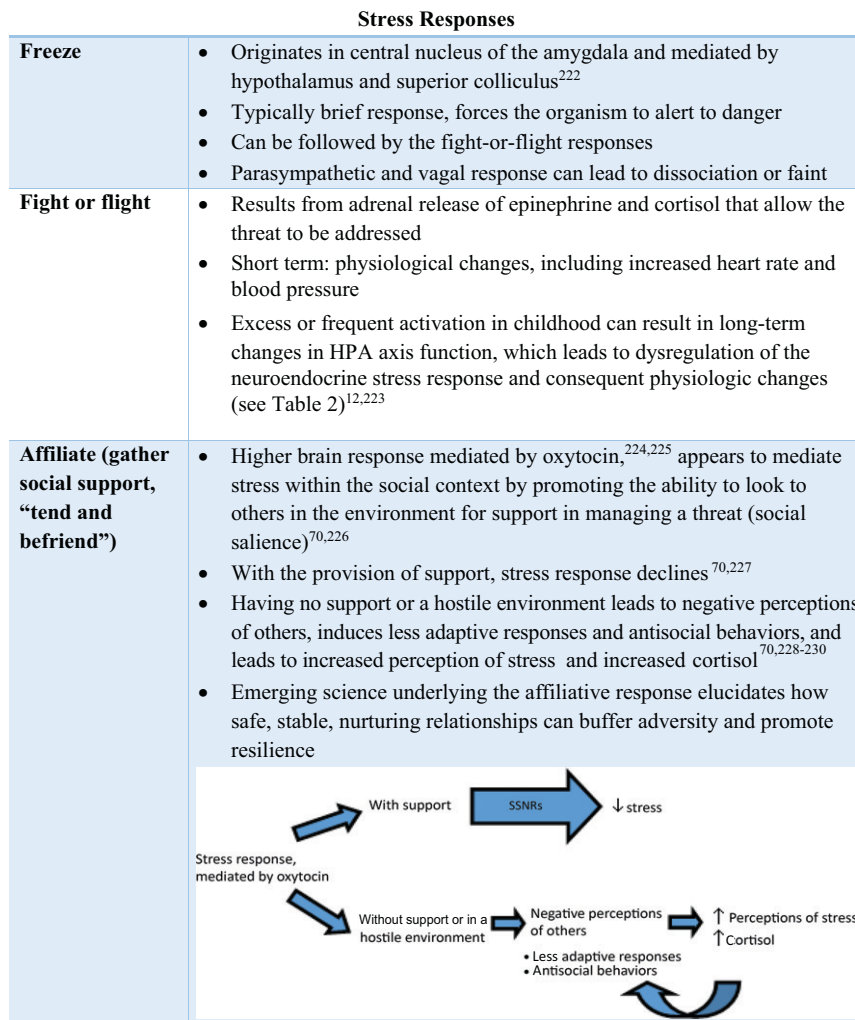


FIGURE 1 Stress responses. HPA, hypothalamic-pituitary-adrenal.

TABLE 2 Physiologic Effects of Trauma in Children

Area	Impact	Specifics	Implications and Associations
Brain connectivity ⁸⁵	Cortisol acts on rapidly developing brain structures	Amygdala overactive; hippocampus underactive; prefrontal cortex not accessible	Preliminary association with more severe clinical course in major depressive disorder
Epigenetic changes ²¹	Methylation patterns impacted by threat, mediated by cortisol	Methyl groups attach to promoter region or come off promoter regions of genes, leading to the transcription or lack of transcription of genes	Adult stress and reactivity behavior ^{231,232}
Immune function ⁸⁰	Alteration of immune system in response to constant threat	Inflammatory system up-regulated; humoral immunity diminished; cytokine-induced "sickness behavior" ⁸¹ (feeling sick)	Symptoms including the following: decreased appetite, fatigue, mood changes including depression and irritability, poor cognitive function

changes.^{12,14} The lifelong effects of toxic stress are statistically related to many adult illnesses, particularly those related to chronic inflammation, and causes for early mortality.²⁹ The robust literature on the physiologic effects of toxic stress is beyond the scope of this clinical report yet briefly summarized in Table 2.

Trauma is a broader term used to describe both a precipitant and a human response. The Substance Abuse and Mental Health Services Administration defines trauma as an event, series of events, or circumstances experienced by a person as physically or emotionally harmful that have long-lasting adverse effects on the person's functioning and well-being (emotional, physical, or spiritual).¹⁶ This definition accounts for the fact that people may respond differently to potentially traumatic events and informs TIC with appreciation that the traumas people experience can result in behavioral changes that may allow them to manage the trauma in the short-term but can have lasting negative effects on conduct. These difficulties should not be viewed as malicious actions or even intentional but as consequences of adversity.³⁰

Because these epidemiological and physiologic studies provide the background and impetus for TIC, understanding the terminology

derived from this literature is important in appreciating the scope, variety, and nuances of TIC and how to actualize them. These are summarized in Table 3.

High-risk Populations

It is important to be aware that the exposures of some child populations and their families put them at particular risk of experiencing trauma but also that the components of TIC can benefit these children and families.³¹⁻³⁴ More than 7.4 million children, or nearly 1 in 10 children, are reported as potential victims of child abuse and neglect annually.³⁵ In 2019, more than 670 000 children spent time in foster care.³⁶ Children who remain at home after child protective services investigation or are moved to kinship care resemble their peers in foster care in having an extremely high prevalence of significant childhood trauma.³⁷⁻³⁹ Immigrant and refugee children may have left poverty, war, and violence, may have encountered abuse or separation from family members, and can be at risk for deportation, detention, and separation and discrimination.^{6,40,41} Poverty, or near poverty, affects approximately 43% of US children, and both urban and rural poverty have been linked with multiple stressors and increased risk of trauma.⁴²⁻⁴⁴ Children of underrepresented racial, ethnic, and religious groups are

more likely to be exposed to discrimination.^{45,46} The psychological, interpersonal, and perhaps physiologic effects of trauma inflicted on a community (particularly because of race, identity, or ethnicity) may be passed to succeeding generations and is referred to as historical trauma.^{47,48} Community violence and bullying, along with cyberbullying, are experienced by many children and recognized as traumatic exposures included in expanded definitions of ACEs.⁴⁹⁻⁵¹ Lesbian, gay, bisexual, transgender, and queer children and adolescents, children of color, American Indian and Alaskan native children, immigrant children, neurodiverse children and adolescents, and children and adolescents with overweight and obesity are all more likely to experience discrimination, both overt and as a series of microaggressions (small slights, insults, or indignities either intentional or unintentional) that accumulate over time.⁵²⁻⁵⁴ Additionally, children of military families have a higher prevalence of trauma, abuse, grief, and loss.⁵⁵ Populations at higher risk for pediatric medical traumatic stress include preterm infants, children with complex and/or chronic medical conditions, and those suffering from serious injury or illness.⁵⁶ Up to 80% of children and family members experience trauma

TABLE 3 Definitions of Terminology in TIC

Terminology of Traumas	Definitions
Acute stress disorder and Post-traumatic stress disorder (PTSD)	Psychiatric diagnoses that include having experienced or witnessed a traumatic event and then having persistent symptoms that include the following: reexperiencing (intrusive thoughts, nightmares, or flashbacks); avoidance (feeling numb, refusing to talk about the event); hyperarousal (irritability, exaggerated startle response, always expecting danger); acute stress disorder: symptoms occur 3 d to 1 mo after traumatic exposure ⁸¹ ; PTSD: symptoms must occur ≥ 3 mo after the trauma ²³⁵
ACEs	Stressful or traumatic events, including child abuse and neglect, that occur within the primary caregiving relationship; often breach the parent-child relationship, which is fundamental to nurturing healthy development; linked in population studies to physiologic and behavioral changes impacting the health and well-being of patients over their life course with a wide array of health problems, including associations with substance misuse. ^{10,21,24,80} The original ACEs (from initial study published in 1998) are the following: physical abuse, sexual abuse, emotional abuse, physical neglect, emotional neglect, intimate partner violence, mother treated violently, substance misuse within household, household mental illness, parental separation or divorce, and incarcerated household member. Subsequent studies have expanded the original ACE panel to include other adversities, ^{9,234} including the following: experiencing racism, experiencing bullying, separation from caregiver (resulting from immigration, foster care, incarceration, death, or any other reason), witnessing violence, community violence, ⁴⁹ adverse neighborhood experience, ²³⁵ and financial insecurity ²³⁶
Complex childhood trauma (as defined by the National Child Traumatic Stress Network)	Encompasses both a child's exposure to multiple interpersonal traumatic events, including maltreatment and household dysfunction, and the broad, pervasive, and predictable impact this exposure has on the individual child ^{83,237} ; can disrupt a child's attachment with caregivers, development, and sense of self
Developmental trauma disorder (DTD)	A proposed diagnosis based on evidence that children exposed to complex trauma are at risk for severe pervasive disruptions in their development in the domains of emotional health, physical health, attention, cognition, learning, behavior, interpersonal relationships, and sense of self; sometimes used interchangeably with complex childhood trauma; describes problems in affect dysregulation, negative self-concept, and difficulty with relationships that occur as a result of trauma-related developmental impairments; symptoms overlap or co-occur with several PTSD symptoms, but DTD includes a fuller spectrum of dysregulation resulting from the insults to multiple pathways in the developing brain when nurturing and is seen as a result of complex childhood trauma; more accurately describes the outcomes of such trauma in children than does the diagnosis PTSD ^{158,238}
Pediatric medical traumatic stress (PMTS)	The distress that children and family members experience during hospitalization for a perceived life-threatening diagnosis or while living with or caring for someone with life-altering chronic conditions ²³⁹⁻²⁴¹ ; often related to the person's subjective experience of the medical event rather than its objective severity and is mitigated by SSNRs that promote resilience
Secondary traumatic stress (STS)	A response that may occur in parents, other family members, and health care workers such as physicians, nurses, other hospital staff (including nonclinical staff), first responders, and therapists who are exposed to the suffering of others, particularly children ²⁴² ; may have many of the same long-term effects on health that affect children exposed to trauma; individual trauma histories can contribute to the reaction
Social determinants of health (SDoHs)	Conditions of the greater ecology or environment, occurring where people live, learn, work and play, which affect the neuroendocrine stress response and affect a wide range of health risks and outcomes ^{8,22} ; can be mitigated by an SSNR and other protective factors and exacerbated by ACEs and intrafamilial and interpersonal traumas; examples include: poverty, food insecurity, homelessness, and lack of access to health care; examples that also overlap with the expanded ACEs include racism, discrimination, and community violence
Trauma	An event, series of events, or set of circumstances an individual experiences as physically or emotionally harmful that can have lasting adverse effects on the person's functioning and mental, physical, emotional, or spiritual well-being ¹⁴ ; can occur outside caregiving relationships (eg, dog bites, natural disasters), within the context of the caregiving relationship (eg, exposure to domestic violence, various forms of abuse or disordered caregiving because of parental mental illness or substance use disorder), or in the context of relationships outside the family (racism, bias, discrimination, bullying)

symptoms after a life-threatening illness, injury, or painful medical procedure.⁵⁷

READINESS

TIC transforms the fundamental questions in medical care from “What is wrong with you?” to “What happened to you?” and, finally, to “What’s strong with you?” A trauma-

informed approach acknowledges the biological effects of adversity without suggesting that childhood adversity is destiny. It requires a compassionate approach that does not suggest blame. It requires pediatric health care workers at every level to understand the context of a child’s relationships, especially within the family, and ask, “What are the caregiver’s strengths

and challenges?” “What are the child’s strengths and challenges?” and “Who supports you?” This changes the pediatric role from “I must fix you” to “I must understand you (and the relationships that created you and can help you heal).”^{25,58} Thus, readiness includes an understanding of what provides resilience and how to promote it.

Relational Health Care

TIC is fundamentally relational health care, the ability to form and maintain safe, stable, and nurturing relationships (SSNRs). Pediatricians are able to support the caregiver-child relationship, the context in which there can be recovery from trauma and the restoration of resilience. Fundamental to these concepts is an understanding of attachment.

Attachment

Attachment describes the emotionally attuned give-and-take between caregiver and child and the trust, safety, and security provided to the child⁵⁹ that promotes healthy brain growth, development of accurate mental maps of self and others, development of resilience, and protection from trauma.⁶⁰ Fundamentally, the predictable compassionate availability of the caregiver promotes the secure attachment of the child.^{61,62} Recent studies show attachment remains malleable beyond infancy, even into adolescence and adulthood, to some extent.^{63,64}

Effective Parenting

Effective parenting encompasses the skills that caregivers bring to the task of parenting and is the context in which secure attachment develops and is relied on during and after traumatic experiences. Although caregivers approach parenting with a range of skills, attitudes, and beliefs rooted in their cultural and family contexts, studies have shown that effective or positive parenting has some universal features.⁶⁵⁻⁶⁷

It is through secure attachment with a predictably empathic caregiver that children learn to regulate their emotions. Children start by turning to a caregiver when upset. The caregiver comforts the child by touch, words, and compassion, which shuts down the stress response and restores emotional

regulation. Secure attachment happens as a child predictably receives this sympathetic support from the caregiver when the child is distressed and the child comes to confidently anticipate that support. This relationship becomes a reliable source of safety, and the caregiver is a secure base from which the child can explore their environment.⁶² Multiple studies have shown that a secure attachment relationship is the best means for building or rebuilding resilience in children; it is also the context for promoting healthy brain growth and development.^{62,65,68,69} With these positive affiliative experiences, modulation of the stress response begins and includes the release of oxytocin, a potent hormone regulator of the sense of safety and well-being.^{68,70}

Thus, the first step of TIC is to assess this aspect of the relationship, observing the child-caregiver interaction, including the caregiver's attention to the child, the caregiver's ability to read and respond to the child in developmentally appropriate ways, and the child's ease, comfort, and response to the caregiver. Discussion can begin by focusing on the caregiver's and child's strengths and noting the constructive aspects of the relationship while providing the caregiver with empathy. When attachment is strained, caregivers have often lost empathy for the child. The positive regard and attuned attentive listening provided

before and while raising concerns supports the caregiver. The empathy provided to the caregiver thus allows the opportunity for them to reattune to the child.⁶²

Resilience

Resilience is defined as a dynamic process of positive adaptation to or despite significant adversities.⁷¹ This is not a static or innate quality but includes skills children can learn over time with reliable support from attachment figures. The development of resilience includes aptitudes that are attained through play, exploration, and exposure to a variety of normal activities and resources. Studies have shown that development can be robust, even in the face of severe adversity, if certain basic adaptational mechanisms of human development (resilience factors) are protected and in good working order. These mechanisms include attachment to a competent caregiver, cognitive development with opportunity for continued growth, mastery of age-salient developmental tasks, self-control or self-regulation, belief that life has meaning, hope for the future, a sense of self-efficacy, and a network of supportive relationships.⁷¹ On the other hand, if those basic adaptational mechanisms or protective factors are absent or impaired before, during, or after the adversity, then the outcomes for children tend to be poorer⁷¹ (see Table 4).

TABLE 4 Adaptational Mechanisms of Resilience

T	Thinking and learning brain, with opportunity for continued growth; cognitive development
H	Hope, optimism, faith, belief in a future for oneself
R	Regulation (self-regulation, self-control of emotions, behaviors, attention, and impulses)
E	Efficacy (self-efficacy) or sense that one can impact their environment or outcomes
A	Attachment, secure attachment relationship with safe, stable, and nurturing caregiver or competent caregiver
D	Development, mastery of age-salient developmental tasks
S	Social context, or the larger network of healthy relationships in which one lives and learns

Adapted from Masten AS. Ordinary magic. Resilience processes in development. *Am Psychol*. 2001;56(3):227-238; Forkey H, Griffin J, Szilagy M. *Childhood Trauma and Resilience: A Practical Guide*. Itasca, IL: American Academy of Pediatrics; 2021.

Robust implementation of TIC is strength-based, building on family protective factors rather than emphasizing deficits. At almost every encounter, from early childhood through adolescence, pediatric care can include resilience promotion, building on identified strengths. Because resilience is a dynamic process of positive adaptation, routine anticipatory guidance about development or safety can be used to promote relational health and positive childhood experiences, including achievements at home, at school, and in neighborhoods, which enhance resilience.⁷² When addressing adversities or concerns about development, surmounting the challenges can be framed with resilience and positive experiences as the goal.⁷³ For example, when speaking with a caregiver about a child learning to fall asleep on their own, sleep skills can be framed as building resilience by supporting self-regulation and self-efficacy. Alternatively, when a caregiver expresses concern about a child or teenager who had been sleeping until experiencing a traumatic event, the discussion can be framed around what resilience factors are being challenged (developmental skill mastery, self-efficacy, self-regulation) and which ones can be used to support the child's recovery (attachment and thinking).

DETECTION AND ASSESSMENT

Detection involves both surveillance and formal screening to identify children and families with the history of exposure to potentially traumatic experiences as well as those who exhibit signs and symptoms of trauma. Although TIC is common in social services and other mental health settings, in a health care environment, TIC can be conceptualized by using a medical model. Similar to other medical conditions, TIC includes purposeful

triage, engagement, history-taking, surveillance and screening, examination, differential diagnosis, sharing of the diagnosis, and management, which may include office-based anticipatory guidance, referral, psychopharmacology, and/or follow-up or recommendations.

Surveillance for maladaptation after experiencing trauma includes consideration of all those who may be affected by exposure to the direct suffering of the child. Health care workers, such as first responders, nurses, social workers, trainees, physicians, and nonclinical hospital or clinic employees, may be deeply affected by witnessing or hearing about the traumatic experiences of children. Parents (biological, foster, kinship, or adoptive) are particularly at risk for prolonged trauma reactions that may impair their ability to care for and comfort their children. Siblings may also be affected, particularly when there is complex trauma or exposure to suffering, such as having a sibling with cancer or another life-altering disease that involves chronic pain.

Peri-trauma

Peri-trauma refers to situations in which medical providers are caring for children as the traumatic events are unfolding. One example is pediatric medical traumatic stress. Pediatric medical traumatic stress is a situation in which children experience medical procedures or other aspects of medical care as traumatic events. The effects of such trauma can be mitigated by attending to the child's and family's experience of medical care and reducing (as much as possible) frightening or painful aspects of necessary care and procedures. This mitigation can include asking children (and caregivers) about their fears and worries, optimizing pain management and comfort measures, and working with

caregivers to increase their ability to provide effective support for their child. The Healthcare Toolbox includes a number of specific suggestions, including assessing distress (D), providing emotional support (E), and addressing the family needs (F)—a D, E, F protocol to follow the A, B, Cs of resuscitation.⁷⁴

Another comprehensive strategy used by schools and community agencies when a mass trauma or disaster occurs is Psychological First Aid (PFA).⁷⁵ Developed by the National Child Traumatic Stress Network, PFA is an evidence-informed program that is designed to help children, families, adults, and other witnesses in the immediate aftermath of a disaster or terror event. Core skills for implementation of PFA are identical to TIC: establish an emotionally safe environment, connect with primary support persons (relational health), link to community resources, and provide psychoeducational materials to help understand the potential responses of children to the exposure.

Triage

The first step in medical care is to identify an emergency versus nonemergency situation. When dealing with trauma, its causes, or its consequences, consideration of whether a child may be emergently at risk requires assessment and response as a top priority. In practicing TIC, protocols and practices to identify and address child or family safety issues, both physical and psychological, are integral to care.

Trauma may result from children being in unsafe settings because of abuse, neglect, or impaired caregiving. When the practitioner suspects maltreatment or failure of the caregiver to protect a child at any point in a health encounter, referral to child protective services

is necessary and mandated. These issues need to be considered even before screening and addressed with standard protocols to respond to identified risks.⁷⁶⁻⁷⁸

Other immediate safety issues may arise when a consequence of trauma is self-harm or intent to injure others. Screening for suicidality, self-injury, or intent to harm others is included in TIC along with clear protocols for how to address positive endorsement of these issues.

Engagement

TIC creates a respectful and emotionally safe space in which to engage children, adolescents, and families around the discussion and management of these issues and to prevent retraumatization. Discussion of trauma may raise stress levels, and appropriate engagement reassures the child and family that the setting is safe. Culture can also affect how trauma is experienced and understood by families, and cultural awareness can ease the conversation. Engaging children and families begins with greeting the patient and family and being fully present in the moment while maintaining a balance between professionalism and friendliness. It involves initially asking open-ended questions, followed by more specific and probing questions as needed and that are elicited by caregiver and child or adolescent responses. It involves listening in an active, nonjudgmental, attuned way, reflecting back to the family what is heard for clarification and confirmation, seeking clarification when necessary, paraphrasing, attending to and reflecting on the emotions that accompany the information, and summarizing what is discussed. Implicit bias can affect the provider's ability to be nonjudgmental in these conversations.^{46,79} Acceptance,

curiosity, and empathy are conveyed to the patient or caregiver in the process of attentive listening.⁶¹ Engagement also involves mutual regard between the provider and family. Adolescents and capable children bring their own perspective. Each brings expertise to the TIC of the child or adolescent. The provider has expertise in medicine, whereas the patient and family have expertise about the child, what happened, and their situation, beliefs, strengths, and culture.

When working with families and patients who have experienced trauma, the provider's body language, affect, and tone of voice can promote or inhibit care. Affect describes the facial and body expressions that reflect our emotional state. Individuals who have experienced trauma are more sensitive to body language, facial expressions, and tone of voice.⁷⁰ Approaching children slowly and calmly or letting them sit with a caregiver and using higher pitched, more musical speech may ease a child's tension because these sounds are associated with the release of oxytocin in the amygdala, resulting in calming of this threat-sensitive brain area. A shift to low tones during a discussion may alert a child or caregiver to potential danger and stimulate defensive responses.⁶¹

History

Much of the information needed to integrate TIC into practice may be obtained as part of the routine health evaluation. Social, developmental, and medical history are all opportunities to identify risks, stressors, and strengths. The health history provides an opportunity to assess child and family resilience factors, social connectedness, parenting attitudes, and skills. The review of systems allows the medical provider to

collect symptoms of trauma that may not have been identified in the chief complaint but that can offer valuable insight into the current impact of trauma on the patient.^{80,81} Symptoms may be functional, neurodevelopmental, or related to immune function.

1. Functional symptoms: Manifestation of the symptoms of trauma may evolve over time. Functional complaints can result after single-incident traumas (eg, automobile crash, hurricane) or may be early manifestations of complex trauma.⁸²⁻⁸⁴ Sleep difficulty, changes in appetite, toileting concerns (eg, constipation, abdominal pain or enuresis), and challenges with school functioning (eg, poor attention or attendance) may be the early presentation of ongoing trauma.^{84,85} Diagnostic criteria for attention-deficit/hyperactivity disorder and adjustment disorder overlap with some of these functional symptoms. When these signs and symptoms are noted, it can be useful to include trauma in the differential diagnosis.^{17,86,87}
2. Neurodevelopmental symptoms: Some of the most recognizable manifestations of early trauma result from the effect on areas of the rapidly developing brain of young children. Developmental skill acquisition (higher brain) can be hindered as recognition of and response to threat is prioritized (lower brain).^{88,89} Specific areas of the brain affected are the limbic system, hippocampus, and prefrontal cortex.^{12,13,90-92} The prefrontal cortex is involved in cognition, emotional regulation, attention, impulse control, and executive function. Consequently, children may have developmental delay and behave as if they are younger than their actual age^{89,93} (see Table 5 for an easy way to remember these effects). Other

TABLE 5 Most Common Symptoms of Trauma Exposure

F	Frets (anxiety and worry) and fears
R	Regulation difficulties (disorders of behaviors or emotions; hyperactive, impulsive, easily becomes aggressive or emotional; inattentive)
A	Attachment challenges (insecure attachment relationships with caregivers); poor peer relationships
Y	Yawning (sleep problems) and yelling (aggression, impulsivity)
E	Educational and developmental delays (especially cognitive, social-emotional, and communication)
D	Defeated (hopeless), depressed, or dissociated (separated from reality of moment, lives in own head)

Adapted from Forkey H, Griffin J, Szilágyi M. *Childhood Trauma and Resilience: A Practical Guide*. Itasca, IL: American Academy of Pediatrics; 2021.

observed symptoms may include the following:

- rapid, reflexive response to stimuli, reminders, or triggers^{93,94};
- inattention, poor focus, hyperactivity, and difficulty completing tasks^{86,95};
- difficulty tolerating negative mood so the child seeks ways to defuse the tension through hyperactivity, impulsive behaviors, aggression, self-harm, such as cutting and suicidality, or engagement in health risk behaviors (substance use, sexual activity)^{89,95,96};
- reactions to stimuli, triggers, or reminders can be transient and flip suddenly back to “normal”; this appears to the observer as emotional lability^{88,92}; and
- negative world view and self-narrative; flat affect; difficulty engaging socially or viewing themselves as worthless.^{88,92,97}

3. Immune function symptoms: When a child is exposed to early, severe, or prolonged trauma, the immune system is chronically pressed into action, and, over time, changes can occur in the inflammatory system and humoral immunity.^{80,89} A persistent inflammatory response can leave children vulnerable to diseases, such as asthma and metabolic syndrome.^{80,98,99} Humoral immunity may be impaired so that children are more susceptible to infection. Additionally, immune system stimulation may result in the “sick syndrome,” which is a

perception of feeling unwell that can include headaches, stomachaches, and lethargy.^{80,81}

Surveillance

Surveillance or monitoring is the process of recognizing children who might be at risk for being affected by trauma and is modeled after developmental surveillance. Surveillance is less formal than screening and can be conducted at every visit. Asking about caregivers’ concerns, obtaining a trauma history, observing the child, and identifying risk and protective factors provides information about resilience supports and trauma exposure.¹⁰⁰ Surveillance requires attention to relationships and engagement. Questions such as “Has anything scary or concerning happened to you or your child since the last visit?” are a way to more specifically explore the possibility of adverse experiences.⁸⁵ Recognizing that certain symptoms may indicate exposure to childhood adversities, we can ask, “What has happened to you (or your family)?” For adolescents, these questions can be asked as part of the HEADSSS (questions about Home environment, Education and employment, Eating, peer-related Activities, Drugs, Sexuality, Suicide/depression, and Safety) psychosocial interview.^{101,102} Questions that are considered less threatening are asked first and followed with questions that may be perceived as more intrusive.¹⁰¹ Providers may be

concerned that asking questions about a family’s needs, a child’s trauma history, or a child’s symptoms may distress the child or caregiver, but studies in which this topic has been explored indicate that, when the topic is raised, families respond well to having the issues acknowledged and addressed in a supportive setting.^{85,103,104}

Children only heal from trauma in the context of SSNRs, so it is also necessary to ask about the strengths that are already present in the family. Starting these conversations with questions about child, adolescent, or family strengths frames the conversation in a positive and resilience-focused way.^{105,106} For instance, a clinician may ask how the child, adolescent, or family copes with stress, what a teenager does well, whether they have frequent family meetings to talk about solving problems, and whether each member of the family has someone to turn to for safety and comfort when they are upset. Trauma that occurs because of problems in the primary attachment relationship represents the greatest threat to the child or adolescent and may be the most challenging for providers to explore. Caregivers may have their own trauma histories or mental health struggles, substance use issues, and/or multiple stressors related to social determinants of health (SDoHs), including poverty, housing instability, and violence exposure that affect their parenting. Exploring parenting stressors, strengths, and attitudes in conversation can help the provider to pinpoint specific leverage points to help children but may also create an opportunity for the caregiver to reflect about the effects of their parenting or stressors on the child. TIC is compassionate and assumes that all caregivers love their children and are doing the best they can. It also assumes that children

are doing the best they can.^{107,108} Adolescents should be included in these conversations and have a role in identifying strengths and challenges. Pediatricians who have cared for a family over time may already have considerable insight into the family's dynamics and be able to engage the caregivers in an empathic yet open conversation. Furthermore, compassionate surveillance can be combined with use of screeners or questionnaires to elicit more information.

Screening

Validated screeners used at preventive health care visits can provide valuable information about child development, mental health, and behavior.¹⁰⁹ They can be reassuring when normal or alert the pediatric provider to symptoms or risks when borderline or abnormal. Commonly used tools, such as the Ages and Stages Questionnaire,¹¹⁰ the Pediatric Symptom Checklist,¹¹¹ the Strengths and Difficulties Questionnaire,¹¹² and the Patient Health Questionnaire-9¹¹³ may elicit symptoms that are the possible result of trauma (developmental delays, social-emotional problems, anxiety, etc). Perinatal depression screening may not only identify symptoms of this illness but provide opportunities to explore maternal stressors and strengths.¹¹⁴ Those exposed to known traumas can be evaluated by using standardized posttraumatic stress disorder (PTSD) screening tools such as the PTSD Reaction Index Brief Form,¹¹⁵ and those exposed to medical traumas can be evaluated by using a tool such as the Psychosocial Assessment Tool.^{116,117} The Pediatric Traumatic Stress Screening Tool in the Intermountain Care Process Model has been recently developed to screen for pediatric traumatic stress in the primary care setting, either as a universal screen or with targeted screening when

traumas are known.¹¹⁸ These tools effectively help identify the diagnostic criteria for PTSD, although they are not designed to identify the full spectrum of symptoms of complex trauma (developmental trauma disorder [DTD]).

Screening, per American Academy of Pediatrics (AAP) guidelines, suggests using instruments that are standardized and validated and have defined psychometric properties (sensitivity, specificity, positive predictive value). By that definition, there are currently no screening tools for ACEs and only a few validated screening tools for SDOHs. However, standardized (but not validated) tools are being used in some pediatric settings to assess ACEs and SDOHs and are using aggregate risk scoring to target providing increased support.^{119–121} Many of the available screening tools expanded on the domains included in the original Centers for Disease Control and Prevention/Kaiser ACE study to include additional items applicable to urban and minority populations, including witnessing neighborhood violence and experiencing bullying or discrimination.⁹ Parental ACE screening may offer the opportunity to align with caregivers and build a partnership to explore issues that may be affecting their parenting. Indeed, several recent studies suggest that parental ACEs can be linked with concerning outcomes for children.^{122–125} Concurrent resilience screening offers the opportunity to identify protective factors that can buffer identified stressors, thus providing more nuanced understanding of a child's risk. Screening also offers the opportunity to then frame the discussion around promoting strengths in the caregiver-child relationship to protect a child from toxic stress and build adaptive

skills.¹⁰⁷ Similar to ACE screening, there are few available standardized validated resilience screening tools, although the Connor-Davidson Resilience Scale¹²⁶ and Brief Resilience Scale¹²⁷ assess caregiver resilience.¹²⁸ (Readers are referred to the AAP Screening Technical Assistance Web site at <https://www.aap.org/en-us/advocacy-and-policy/aap-health-initiatives/Screening/Pages/About-Us.aspx> for developmental and SDOH screening tools.)

A limitation of ACE and SDOH screening tools is their lack of nuance: they identify risk factors that have been derived from epidemiological studies, not outcomes at the individual level.^{129,130} Those outcomes are the result of the physiologic response to adversities. Although currently only available in the research setting, biomarkers of this physiologic response have the potential to be more accurate measures of the effects of adversity at the individual level.^{131–133} Eventually, clinic-friendly, noninvasive biomarkers could also be used to identify patient-specific response to both stressors and therapeutic interventions.^{134,135}

Screening health care workers for the effects of hearing about and addressing the trauma experiences of others is most commonly achieved with informal self-assessment strategies to identify symptoms or experiences that may be associated with burnout or STS.¹³⁶ Substance use disorder, depression, and suicidality may be associated with exposure to secondary trauma, and there appears to be overlap between burnout and STS.^{137–144} An example of a screening tool for health care workers is the Professional Quality of Life Scale,¹⁴⁵ which includes subscales for compassion satisfaction, burnout, and STS.

Cultural considerations affect all aspects of TIC, including screening. Instruments that are not normed for the population or translated and validated in the language of the patient and family can result in misleading results. Thus, it is important to consider screening results cautiously with consideration of the family's culture and ethnicity in relation to the screening tool being used.¹⁴⁶

Examination

Blood pressure measurement at preventive health visits or when stress is a potential etiologic factor for concerns is indicated.¹⁴⁷ Elevated blood pressure may be the first symptom of childhood traumatic stress, especially as youth age.^{148,149} Abnormalities in hearing, vision, and growth parameters can be clues to adversities.^{150,151} Overweight and obesity have been associated with ACEs.¹⁵²⁻¹⁵⁴ Physical examination may reveal signs of neglect or abuse. The immunologic effect of trauma may result in inflammatory or infectious consequences identifiable on examination.^{1,80,99,155,156} Children who have sustained cumulative ACEs and traumas may exhibit certain common behaviors the provider may witness during physical and mental health evaluation (refer to history and symptoms described earlier).

Differential Diagnosis Considerations and Comorbidities

The provider is encouraged to consider trauma as a possible etiology in the assessment of developmental, mental health, behavioral, and physical symptoms in all pediatric encounters because of the following: (1) the experience of adversity is so common; (2) the symptoms of trauma overlap with the symptoms of other common pediatric conditions^{87,95}; and (3) failure to do so might lead to an

incorrect or incomplete diagnosis and treatment, enabling the effects of trauma to further embed.^{17,157,158} Trauma may be mistaken for other conditions, such as attention-deficit/hyperactivity disorder, and includes symptoms that overlap with other diagnostic categories, such as anxiety and depression.^{86,87,159} It has been proposed that trauma may result in a different "ecophenotype" of common conditions that have a different trajectory and different response to common treatments.⁹³ Children may also have comorbid conditions, such as ADHD, anxiety, depression, or developmental and learning issues, because they frequently accompany childhood trauma. A more detailed description of diagnoses that are commonly confused with trauma or comorbid with it are covered in the AAP clinical report "Children Exposed to Maltreatment: Assessment and the Role of Psychotropic Medication."⁸⁷

Diagnostic Continuum

Pediatric providers may encounter children with a wide range of symptoms resulting from trauma. As noted, trauma can result in short-term changes in behavior or have a more lasting impact depending on the child, the trauma itself, and the supports or emotional buffers in a child's life. When traumatic events are more severe, prolonged, or less buffered by a caregiver, effects on various aspects of functioning can be more severe.^{1,160-163} Children exposed to chaotic households, abuse, or neglect, especially in the early years of life, may have more severe symptoms and symptoms that evolve over time.^{94,159,164,165} Diagnostically, this may result in children who have functional symptoms (short-term problems with sleeping, eating, toileting), adjustment disorder, PTSD, or complex trauma symptoms.^{163,166,167}

MANAGEMENT

Sharing the Diagnosis With Children and Caregivers

Some parents and caregivers may come to understand the role of adversities in their child's symptoms through discussion of the trauma history and symptoms, and others will require the provider to explain this connection before they can appreciate the provider's advice and recommendations. Psychoeducation is the first step in management of childhood trauma and includes empathic, nonjudgmental sharing of diagnostic information and provider concerns about the etiology of a child's symptoms. The provider's role is to integrate the child or adolescent and caregiver's concerns, the child or adolescent's symptoms, and elements of a thorough history and examination into an explanation of why this raises a concern about trauma exposure or why trauma may be the underlying cause or one of the causes of a child's symptoms, much as is done for any diagnosis. A simple explanation of the pathophysiology of trauma may help the caregiver to move from frustration with the child or adolescent's behaviors or symptoms to empathy. In some situations, the explanation may also provide the caregiver with insight into their own history of trauma and its impact on their parenting behaviors or responses to their child's behaviors, or how an event that affected their child may have traumatized the caregiver as well.

Psychoeducation includes acknowledging that a trauma history can affect behavior and thoughts, with some discussion of how that happens. Table 6 has information on specific psychoeducation. The variable responses of children to trauma can be frustrating or confusing. Discussion of the emerging data on the biological sensitivity to context may be useful

TABLE 6 Responses to Trauma to Explain to Caregivers: Psychoeducation

Impacts of Trauma on Function and Behavior	Clinical Presentation
Changes in auditory processing	Children may lose the ability to hear sounds of safety (musical high-pitched voice) and be preferentially attuned to low-pitched sounds that warn of caregiver depression and anger. ²⁴⁷
Changes in how children interpret facial expressions	Children may misinterpret the affects and emotions of others, particularly confusing anger and fear. ⁹³
Limited vocabulary for emotions	Children may also not accurately recognize or express their own emotions, leading them to act out or respond in ways that seem “off.” What a child (or caregiver) identifies as “anger” may be disappointment, frustration, fear, grief, or anxiety. ⁸⁸
Negativity	Trauma results in children having overactive limbic systems with a focus on safety and a presumption of danger. This can result in strong negative reactions as the first response to a stimulus that might be benign or ambiguous. ⁶¹
Triggers	Triggers can be physical (smells or sounds that recall details of the trauma) or emotional (feeling embarrassed or shamed, recalling how child felt during abuse). Prevention of exposures to reminders or triggers is the best approach. Triggers may be subtle, so educating and assisting caregivers with their identification is key. This helps caregivers understand a child’s response. ¹⁶⁷
Learned Behavior	Behaviors that were adaptive for a child in a previous environment may be maladaptive in their current environment. These behaviors can evoke some of the same reactions from caregivers that the child experienced with other adults, reinforcing a familiar pattern of interactions that may not be productive in the new setting. ⁶¹

Adapted from the National Child Traumatic Stress Network. Families and caregivers. Available at: <https://www.nctsn.org/audiences/families-and-caregivers>. Accessed January 11, 2021;²⁴⁵ US Department of Health and Human Services, Administration for Children and Families. Resources on trauma for caregivers and families. Available at: <https://www.childwelfare.gov/topics/responding/trauma/caregivers/>. Accessed January 11, 2021²⁴⁴; and American Academy of Pediatrics. Parenting After Trauma: Understanding Your Child’s Needs. Available at: <https://www.aap.org/en-us/advocacy-and-policy/aap-health-initiatives/healthy-foster-care-america/Documents/FamilyHandout.pdf>. Accessed June 24, 2021²⁴⁵.

to caregivers.^{168,169} Genetic variations in how a person responds to stress may contribute to a child’s sensitivity to adversity.¹⁷⁰ Yet, those with high reactivity who are supported and learn to channel that reactivity to positive activities and passions may have the greatest potential.¹⁶⁸ This information, along with specific suggestions about how to support children, can address some of the consternation of caregivers regarding children’s heterogeneous responses to both adversity and interventions.

Office-Based Anticipatory Guidance and Management

Trauma-informed anticipatory guidance provided by pediatricians can help families promote resilience and begin to address the effects of trauma. If screening for SDOHs is being conducted and/or social needs are identified, referral to applicable community-based services is indicated (eg, food bank, pro bono legal aid, etc). Having a list of community providers, such as Early Head Start, Head Start, evidence-based maternal, infant, and early childhood home visiting programs,

state Maternal Child Health Title V programs, and Family to Family Health Information Centers ready for distribution, directly contacting the referral provider with the patient present, or providing formal care coordination all facilitate family engagement and help families connect to needed community resources. For older children and adolescents, trauma-informed schools and teenager crisis centers may be available in the community. In trauma-informed schools, personnel at all levels have a basic realization about trauma and an understanding of how trauma affects student learning and behavior in the school environment.^{171,172}

Every encounter in an office setting, from those with young children to those with adolescents, is an opportunity to strengthen the attachment between a child and caregiver.¹⁷³ Through techniques such as reinforcing positive back-and-forth interactions between a parent and a child (serve and return), helping the caregiver to understand the child’s experience (keeping the child’s mind in mind),

helping the children to learn words to describe a variety of emotions, and promoting self-reflection concerning the caregiver’s own trauma history, the pediatric clinician can render primary prevention against the development of anxious and maladaptive attachment patterns and promote regulation.^{82,174} Examples of relevant anticipatory guidance include advice, resources, or referrals to community programs, including Reach Out and Read¹⁷⁵⁻¹⁷⁷; developmentally appropriate play with others¹⁷⁸⁻¹⁸⁰; promoting positive, authoritative (in contrast to punitive or authoritarian) parenting styles¹⁸¹⁻¹⁸³; and mindfulness.¹⁸⁴⁻¹⁸⁶ Table 7 includes specific advice to promote regulation after trauma.

Referral for Treatment

The presence of complex symptoms, mental health diagnoses, substance abuse, and/or a significant trauma history are indications for referral to evidence-based trauma-informed mental health services.

TABLE 7 Anticipatory Guidance

Office-Based Guidance to Promote Regulation After Trauma	
Restoring safety	To reduce the stress response after trauma, caregivers can: repeatedly assure a child or teenager that they are safe now; allow the youth to express how they feel and listen attentively; provide extra physical contact (if appropriate) with hugs, touch, and rocking for younger children.
Routines	Routines or rituals also help reduce the stress response after the unpredictability and chaos of trauma by restoring a sense of order. Caregivers can use visual (pictorial schedule or charts) and verbal cues for well-defined mealtimes, sleep times, and rituals (“Before bed, we are going to brush teeth, read a story, sing a song, and then turn lights out”). Preparing children for changes in routines, or, for the child in foster care or the child of separated or divorced parents, for visitation, can reduce stress responses.
Relaxation techniques	Provide information verbally, with printed instructions or on phone apps that guide relaxation, meditation, and mindfulness. Refer to community programs that provide training in belly breathing, guided imagery, meditation, mindfulness, yoga, stretching, and massage, which can help to reduce the fight-or-flight responses and symptoms. ²⁴⁷
Time-in or special time	Dedicated, child-chosen or child-directed play with a caregiver. Caregiver chooses a time that works for them and plans to spend 10 to 30 min with the child in fun activity of child’s choosing. For infant or toddler, reading time is a good example of “time-in.” Recommended for children from early childhood through adolescence.
Small successes	Children who experience trauma may have delays in skill development. Expectations may need to be tailored to the child’s developmental level rather than actual age. It may take lots of repetition and practice before a skill or behavior is learned, so it is useful to celebrate and reward small steps toward desired behaviors.
Emotional container	Child may have strong emotions if reminded of trauma, and the emotions may be directed at the caregiver, although they are usually not about the caregiver. Caregiver needs to remain calm to model self-regulation and avoid retraumatizing the child.
Cognitive triangle	Thoughts impact feelings, which then impact behavior, which then reimpacts thoughts. For example, if children worry they cannot fall asleep, they will then feel nervous and stressed, and then not be able to fall asleep, reinforcing their cognitive belief that they cannot fall asleep. Similarly, if children think no one likes them, they will feel rejected and may lash out at another child, leading to rejection by that child and reinforcing their belief that they are not liked. It can help to identify this triangle and break the link between thoughts and emotions (through new experiences that link thought with different emotions) and/or the link between the emotions and the behavior (“It is ok to feel ____, but it is better to do ____ than to do ____.” This technique involves labeling the emotions and teaching an alternative behavior.)
Distraction	Children who are dysregulating may benefit from distraction from the traumatic thoughts by suggesting a game, music, calling a friend, or deep breathing in a calm environment.
Positive parenting techniques	Positive parenting techniques have to be adapted to the age and developmental stage of the child, but they are principles that are known to work: (1) helping children identify and name their emotions; the next step for the child is to understand the emotion and then to learn healthy ways to express the emotion and build regulation skills; (2) reassuring safety and keeping the child safe both emotionally and physically; (3) attuned, attentive listening, which starts in infancy with “serve and return” but evolves into conversational exchanges over time; (4) setting appropriate boundaries and providing guidance through connecting and listening with children; it is best to teach rather than tell or command; for example, “We draw on paper, not on walls, because it is hard to wash markers off the walls”; (5) catching the child being good and offering the child positive, specific praise for good behaviors; (6) implementing rewards and privileges to create opportunities to develop skills; start small so the child can earn a reward quickly and then build up; (7) using positive language instead of “no” commands: for example, “We color on paper, not on the table,” is a better way to approach a child who is drawing on the table than, “Stop that,” Or, “we use gentle hands—we don’t hit others”; (8) being a good role model as child mimics what they see rather than what they are told; (9) having some fun together as a family (time-in): read, talk, sing, play; (10) reinforcing positive skills as they develop: cooperation, politeness, appropriate assertiveness, kindness, etc; and (11) the law of natural consequences: sometimes the best lesson is letting the consequences play out (not cleaning your room means it will be a mess when your friends come over).

Adapted from Camoirano A. Mentalizing makes parenting work: a review about parental reflective functioning and clinical interventions to improve it. *Front Psychol*. 2017;8:14; Zuckerman B, Augustyn M. Books and reading: evidence-based standard of care whose time has come. *Acad Pediatr*. 2011;11(11):11–17; Zuckerman B, Khandekar, A. Reach Out and Read: evidence based approach to promoting early child development. *Curr Opin Pediatr*. 2010;22(4):539–544; Needlman R, Tokar KH, Dreyer BP, Klass P, Medelsohn AL. Effectiveness of a primary care intervention to support reading aloud: a multicenter evaluation. *Ambul Pediatr*. 2005;5(4):209–215; Mendelsohn AL, Cates CB, Weisleder A, et al. Reading aloud, play, and social-emotional development. *Pediatrics*. 2018;141(5):e20173393; Shah R, DeFrino D, Kim Y, Atkins M. Sit Down and Play: a preventive primary care-based program to enhance parenting practices. *J Child Fam Stud*. 2017;26(2):540–547; Chang SM, Grantham-McGregor SM, Powell CA, et al. Integrating a parenting intervention with routine primary health care: a cluster randomized trial. *Pediatrics*. 2015;136(2):272–280; Girard LC, Doyle O, Tremblay RE. Maternal warmth and toddler development support for transactional models in disadvantaged families. *Eur Child Adolesc Psychiatry*. 2017;26(4):497–507; Weisleder A, Cates CB, Dreyer BP, et al. Promotion of positive parenting and prevention of socioemotional disparities. *Pediatrics*. 2016;137(2):e20153239; Shah R, Kennedy S, Clark MD, Bauer SC, Schwartz A. Primary care-based interventions to promote positive parenting behaviors: a meta-analysis. *Pediatrics*. 2016;137(5):e20153393; Perry-Parrish C, Copeland-Linder N, Webb L, Sibinga EMS. Mindfulness-based approaches for children and youth. *Curr Probl Pediatr Adolesc Health Care*. 2016;46(6):172–178; Bauer CCC, Caballero C, Scherer E, et al. Mindfulness training reduces stress and amygdala reactivity to fearful faces in middle-school children. *Behav Neurosci*. 2019;133(6):569–585; Ortiz R, Sibinga EM. The role of mindfulness in reducing the adverse effects of childhood stress and trauma. *Children (Basel)*. 2017;4(3):16; Forkey H, Griffin J, Szilagyi M. *Childhood Trauma and Resilience: A Practical Guide*. Itasca, IL: American Academy of Pediatrics; 2021.

The most effective therapies are evidence-based treatments (EBTs) with demonstrated efficacy for children who have experienced trauma.^{85,187,188} Treatments that are designated as evidence based have had the most rigorous evaluation, whereas evidence-informed treatments range from newly emerging practices that are building evidence support to less rigorously studied tools. Sege et al¹⁸⁹ published an overview of evidence-based individual and family-based psychotherapeutic interventions. Gleason et al¹⁹⁰ specifically outlined services for the treatment of young children. Having these services available on-site or through direct communication with colleagues in mental health (a “warm handoff”) has been revealed to be the most effective approach.¹⁹¹ It is important for caregivers who have their own history of trauma to seek individual therapy, and the pediatric provider may find it useful to have a list of adult mental health providers who address trauma. As research continues to elucidate the neurocognitive basis of trauma symptoms and methods to address those effects, new treatment modalities are being developed and may offer increased therapeutic resources for both adults and children.^{192–194}

Even if therapies are not available on-site, it is useful to familiarize self and staff with evidence-based trauma therapies, how they work, how to refer locally and how to incorporate principals of treatment into pediatric anticipatory guidance. A quick reference for EBTs that includes a brief description of each and the level of evidence can be found on the California Evidence-Based Clearinghouse for Child Welfare (<http://www.cebc4cw.org/>). Some EBTs have been successfully adapted for telehealth,^{195,196} and, in the wake of the coronavirus disease

2019 pandemic, opportunities for EBT via telehealth have expanded.¹⁹⁷ Telehealth is a mechanism to provide EBT in rural and other underresourced communities.¹⁹⁶

Psychopharmacology

No medication, to date, is approved by the US Food and Drug Administration for trauma-specific symptoms or PTSD in children and adolescents. Medications may be judiciously considered for specific symptoms that are interfering with a child’s ability to function normatively in specific ways.⁷² Readers are referred to the AAP clinical report “Children Exposed to Maltreatment: Assessment and the Role of Psychotropic Medication” for discussion of medication use in identified comorbid mental health conditions.⁸⁷

Role of Close Follow-up and Support

A commitment to working with the family over time may prevent or reduce feelings of abandonment or rejection, especially when community and mental health resources are in short supply. The pediatric provider who is continuous over time can continue to listen attentively and offer practical trauma-informed advice that reinforces resilience building and healing. Obtaining consent to share information with a mental health provider may also be reassuring to the caregiver or patient even after a referral and linkage to mental health care is established.

Integration

Once these aspects of care are part of a provider’s repertoire of care, integrating knowledge about trauma into policies and procedures and daily practice are the next steps in creating a trauma-informed medical setting.^{198,199}

Train All Staff in TIC

All staff, from schedulers to billers to nurses and care coordinators, can benefit from training in TIC that is thorough and discipline specific and includes information about physiology, presentation, recognition, and response.^{15,200,201} This training would ideally promote patient empowerment and include caregiver and patient perspectives.

Implementing TIC in any setting is effective when there is consideration of clinic workflow to maintain efficiency. Specific strategies can include a warm and welcoming waiting room, clear communication of expectations and procedures, and providing choices when possible (eg, do you want blood pressure taken on right arm or left?).²⁰¹ As noted earlier, the care of a child who has experienced trauma requires an approach that is similar to addressing other health concerns. TIC can include members of the staff, all aware of and empowered to emphasize safety, patient self-efficacy, and a trauma-informed approach.^{15,201} Use of formalized training in TIC for all staff has been found to be effective in changing staff-reported beliefs and behaviors for caregivers of children in residential care^{202,203,204} and in improving child functioning and behavioral regulation.²⁰⁴ In pediatrics, training of pediatrics residents caring for substance-exposed infants in TIC was effective at changing attitudes and improving therapeutic relationships.²⁰⁵

Office personnel may engage with caregivers and patients in ways that trigger strong emotions, especially if they themselves have experienced adversity or trauma. Financial considerations, scheduling, and conflict in the small spaces of an office can also be explored from a TIC perspective. Personnel would ideally engage in some planning

about how to handle a crisis or difficult situations that occasionally arise, such as the following: patients or caregivers who are indifferent or shut down, demanding, provocative, rejecting or hostile, or inattentive and distracted; or a child who is out of control and threatening to elope from the office. It is helpful to monitor one's own response when difficult situations arise and resist the urge to be angry or retaliate. It is less provocative to focus or comment on the emotion than the behavior: "I can see that you are angry, worried, sad, upset, etc," or "You probably don't want to be here right now." These responses are more affiliative and can help to shut down the stress response of the patient or caregiver whose fight-or-flight response may have been triggered by the health care setting, the interaction, or the medical stressor.

Integrated Health Care

Many providers find that the most efficient TIC can be provided by integrating physical and mental health services and social supports. Integrated care has been found to increase social-emotional screening rates²⁰⁶ through colocation of services with clear strategies for medical provider introduction of the patient to the behavioral health consultant in real-time (warm handoff), by reducing the stigma of a mental health referral, or through facilitated or prearranged referral protocols.^{191,207} Financial and staffing resource issues vary significantly by region, but investigating opportunities for primary care and mental health integration, social work, and/or formal engagement of referral sources and partnering organizations may increase the efficiencies of TIC. Providing case management to address the social modifiers of health (eg, referral to food bank, legal aid) can help to increase family resilience and

prevent the consequences of trauma. Referring to resources has been revealed to be associated with increased employment, use of child care, and a decrease in the use of homeless shelters.²⁰⁸

Two-Generation Approach

Growing evidence has linked increasing parental ACE scores and negative effects on child health and development,^{122,123,125,209} providing compelling evidence that taking a 2-generation approach is important. Families may customarily live in multigenerational family units, and this is a cultural norm for some. The opioid crisis has produced many kinship and grand-families, emphasizing the need for multigenerational care because both children and caregivers have suffered traumatic losses and may be influenced by their own trauma histories.²¹⁰ Addressing how adversity experienced by a caregiver in childhood may affect their parenting and resilience can have profound effects on a child's health and outcomes. This approach can include asking these questions in engagement, surveillance, and screening; careful consideration of how the provider or practice can and will respond to elicited issues is important before integrating this into practice flow.

Community Partnerships

Pediatric offices can develop methods to coordinate trauma-related care with schools, child care, early educators, courts, legal supports, child welfare services, and other community partners (see policy statement¹⁹).

Staff and Provider Support

Addressing the trauma experiences of others can have significant consequences for health providers and staff. Per the National Child Traumatic Stress Network, STS is the emotional distress that results

when an individual hears about the first-hand trauma experiences of another.¹³⁶ The essential act of listening to trauma stories may take an emotional toll that compromises professional functioning and diminishes quality of life. Burnout is a syndrome characterized by a high degree of emotional exhaustion and depersonalization (ie, cynicism) and a low sense of personal accomplishment from work. Burnout refers more to general occupational stress and is not used to describe the effects of indirect trauma exposure specifically.¹³⁶ At least one meta-analysis concluded that job burnout contributes to, or at least increases the risk of, STS.¹⁴² Recent surveys of medical students and residents reveal a high rate of depression (Patient Health Questionnaire-9 score >10) of 25% to 30%.^{139,211} Some data indicate that more than 50% of the physician workforce in the United States suffers from burnout related to their profession.²¹²⁻²¹⁴ For the individual physician, burnout can result in increased rates of apathy, depression, substance abuse, and suicide and can affect personal relationships.^{139,212} STS similarly affects providers, although it is more often discussed in the mental health and child welfare literature rather than the medical literature.¹⁴⁴

Detailed discussion of the response to burnout and STS is beyond the scope of this clinical report. However, effective TIC includes recognition of the effect of indirect trauma exposure on the workforce and safeguards to protect those caring for children and caregivers.^{136,143} Acknowledgment that these are issues and providing resources to address them, with attention to leadership and supervision, have been cited as the most important first steps.^{143,212,215} For both burnout and STS, support from the immediate supervisor and

organizational leadership have been demonstrated to be effective ways to combat the effects of trauma.^{143,209} Team-based care, efficiencies in practice, and opportunities to share successes and frustrations with peers can all be helpful.^{216–218} Promoting self-care remains an important part of TIC, with adequate time for rest, distance from the office or hospital, exercise, healthy diet, and prayer, meditation, or mindfulness shown to reduce symptoms of burnout and STS.^{143,219,220} Such interventions are integral to developing and sustaining a trauma-informed practice and include all members of the health care team.

SUMMARY

TIC recognizes that exposure to adversities is common to many, if not most, children and that the developmental, behavioral, and health consequences can be profound and long lasting. Pediatric clinicians with an understanding of the physiology of both resilience and trauma are in a position to promote resilience, recognize and respond to traumas, and promote recovery.

Key Points

1. TIC is fundamentally relational health care, the ability to form and maintain SSNRs. Pediatric clinicians are well positioned to use a 2-generation approach, evaluate attachment relationships, and harness these attachments to encourage the caregiver's role in promoting regulation and resilience.
2. Providing TIC is achieved through common pediatric practices, starting with engagement and providing a safe setting for patients and families. Obtaining history, using surveillance or screening tools appropriate to the pediatric setting and clinical need, and effecting a response involving the pediatric provider and other

community resources is consistent with addressing most health-related issues.

3. Trauma symptoms can vary, from changes in eating and sleeping to severe physical and mental health effects requiring extensive treatment. Individual differences in trauma symptoms relate to the interplay of exposures and buffering from SSNRs as well as genetic variations impacted by the early environment (biological differential sensitivity to context).
4. Treatment can begin in the office setting with psychoeducation and brief guidance for caregivers. Facilitating linkages to community resources for families to programs that promote positive parenting skills, regulation, and self-efficacy; address the SDoHs (poverty, housing, food insecurity, etc); or provide EBT further supports those at risk and can effectively treat those who are symptomatic.
5. Integrating this relational model of care to prevent and mitigate the impact of trauma so that all members of the care team feel supported and valued is integral to TIC. Addressing safety and supporting relationships that promote affiliative responses, decrease stress responses, and promote building resilience are principles of TIC for children, caregivers, and health care personnel.

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ABBREVIATIONS

ACE: adverse childhood
experience

DTD: developmental trauma
disorder

EBT: evidence-based treatment

PFA: Psychological First Aid

PTSD: posttraumatic stress
disorder

SDoH: social determinant of
health

SSNR: safe, stable, and nurturing
relationship

STS: secondary traumatic stress

TIC: trauma-informed care

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